

**To:** Harris County Commissioners Court

**Through:** Amy Perez, Director of Financial Management, Budget Management Department

**Prepared By:** Diana Elizondo, Investment Manager, Budget Management Department

**Subject:** Transmittal of Investment Transactions

**Project ID (If applicable):**

**Purpose and Request:**

Transmittal of investment transactions and maturities for the period of March 2-22, 2021.

**Background and Discussion:**

The attached report provides a breakdown of investment transactions (purchases, sales, maturities, calls, and coupon payments) for Harris County, Harris County 9-1-1 Emergency Network, Community Supervision & Corrections, Juvenile Probation Board, Harris County-Houston Sports Authority, Hospital District, and Sports & Convention Corporation for the period stated and is provided for informational purposes.

The investment transactions were in accordance with the guidelines established in the Investment Policy approved by Commissioners Court.

**Fiscal Impact:**

*[INSTRUCTIONS: A short description of the cost of the request and where you are requesting funding from. No more than 2 sentences. In addition please fill out the table below. This includes financial impact to the current fiscal year and subsequent fiscal years along with the source of funding (general fund, grant, etc.). If the amount is within the current budget, please indicate the amount from 'Existing Department Budget'. If all of or part of the request is a new expense, please indicate funding source in the space provided.]*

Fiscal Summary			
Expenditures	FY 20-21	FY 21-22 Projected	Future Years Projected [3 additional years]
<b>Service Impacted:</b> <i>[Please provide service or division where expenditure will be used]*</i>			
Existing Budget			
Additional Appropriation Requested			
<b>Total Expenditures</b>			
<b>Funding Sources</b>			
Existing Department Budget			
Please Identify Funding Source (General Fund, PIC, Special Revenue, Grant, Etc.)			
<i>[INSERT FUNDING SOURCE HERE]*</i>			
<b>Total Sources</b>			

**Alternatives:**

*[INSTRUCTIONS: In this section you should briefly discuss any viable alternatives, including the benefits and consequences of each. Include subtitles on the first line of each alternative to identify it. If appropriate, the financial impact of each alternative can be discussed. If taking no action is a viable alternative it should also be discussed, including any financial or other impacts that would result.]*

**Alignment with Strategic Objective:**

*[INSTRUCTIONS: Please write out the Department Strategic Objective impacted by this item.]*

**Attachments:**

Investment Transactions Report



**Investment Transactions for period of 3/2/2021 through 3/22/2021**

**Purchases:**

Date	County Agency	Par Amount	Settlement Amount	Description of Security	Broker	Yield	Maturity Date
03/02/2021	TRA REVENUE	1,100,000.00	1,079,331.00	WACO TX ISD UT GO *CAB*	Oppenheimer & Co.	.550	08/15/2024
03/04/2021	TR SL REV & REF SER	5,000,000.00	4,998,871.25	US TREASURY BILL	HILLTOP SECURITIES	.044	09/09/2021
03/04/2021	COUNTY GENERAL	50,000,000.00	50,957,117.58	US TREASURY NOTE	Oppenheimer & Co.	.089	02/15/2022
03/04/2021	COUNTY GENERAL	50,000,000.00	49,990,608.33	US TREASURY BILL	Cabrera Capital Mark	.047	07/29/2021
03/04/2021	FLD CONTROL GENERAL	10,000,000.00	10,000,000.00	LOGIC - POOL	Other	.101	03/23/2021
03/10/2021	TRA REVENUE	5,000,000.00	5,000,000.00	UNIV OF CALIFORNIA R	UBS Financial Servic	.263	05/15/2023
03/17/2021	COUNTY GENERAL	50,000,000.00	49,998,916.67	US TREASURY BILL	Oppenheimer & Co.	.010	06/03/2021
03/17/2021	COUNTY GENERAL	50,000,000.00	49,973,666.67	US TREASURY BILL	Jeffries	.061	01/27/2022
03/18/2021	COUNTY GENERAL	50,000,000.00	49,988,625.00	US TREASURY BILL	FHN Financial Capita	.045	09/16/2021
Count 9		271,100,000.00	271,987,136.50				

**Sales:**

Date	County Agency	Par Amount	Settlement Amount	Description of Security	Broker
03/04/2021	JUVENILE BOARD	2,000,000.00	2,000,000.00	TX CLASS - POOL	Other
03/09/2021	ROAD REF 06B	50,000.00	50,000.00	TX CLASS - POOL	Other
03/09/2021	TRA REV & REF 02 CON	50,000.00	50,000.00	TX CLASS - POOL	Other
03/09/2021	TRA REV REF 2008B CO	50,000.00	50,000.00	TX CLASS - POOL	Other
03/11/2021	FLD CONTROL GENERAL	6,000,000.00	6,000,000.00	LOGIC - POOL	Other
03/15/2021	DISTRICT CLERK	5,000,000.00	5,000,000.00	LOGIC - POOL	Other
03/18/2021	JUVENILE BOARD	2,000,000.00	2,000,000.00	TX CLASS - POOL	Other
Count 7		15,150,000.00	15,150,000.00		

**Maturities, Calls and Coupon Payments:**

Date	County Agency	Settlement Amount	Description of Security
03/04/2021	COMM SUPV&CORR (TDCJ)	2,000,000.00	US TREASURY BILL
03/09/2021	Sub Lien Revenue Ref	9,300,000.00	US TREASURY BILL
03/10/2021	COMM HEALTH CHOICE T	400,405.56	CD - AMEGY
03/11/2021	PUBLIC IMPROV CONTGC	49,000.00	FFCB NOTE
03/11/2021	METRO GENERAL MOBIL	35,000.00	FFCB NOTE
03/11/2021	METRO GENERAL MOBIL	5,000,000.00	US TREASURY BILL
03/11/2021	WORKER'S COMP	16,520.00	FFCB NOTE
03/11/2021	TRA R&R	42,000.00	FFCB NOTE
03/12/2021	HSA 2001H JL DS RES	8,243,000.00	TMCC DISC CP
03/12/2021	HSA-OPERATING	5,987,000.00	TMCC DISC CP
03/12/2021	HSA 2014 TOYOTA CENT	1,730,000.00	TMCC DISC CP
03/12/2021	HSA SERIES 2014C DS	6,125,000.00	TMCC DISC CP
03/12/2021	HSA Astros Renewal &	2,500,000.00	TMCC DISC CP
03/15/2021	TR SL REV & REF SER	10,119,000.00	NEW YORK STATE REVEN
03/15/2021	TR SL REV & REF SER	1,523,250.00	NEW YORK ST DORM REV
03/15/2021	METRO GENERAL MOBIL	37,500.00	US TREASURY NOTE
03/15/2021	TRA REVENUE	5,059,500.00	NEW YORK STATE REVEN
03/15/2021	TRA R&R	118,750.00	US TREASURY NOTE
03/15/2021	COMM HEALTH CHOICE T	593,775.00	JERSEY VILLAGE TX
03/15/2021	COUNTY GENERAL	10,142,500.00	NY ST DORM AUTH SALE
03/15/2021	COUNTY GENERAL	5,063,750.00	NY ST DORM REV BONDS
03/16/2021	COUNTY GENERAL	183,750.00	FHLB NOTE
03/16/2021	COUNTY GENERAL	183,750.00	FHLB NOTE
03/18/2021	COMM SUPV&CORR (TDCJ)	2,000,000.00	US TREASURY BILL
03/19/2021	HSA PLEDGED REV	3,500,000.00	TMCC DISC CP
03/22/2021	COMM HEALTH CHOICE T	100,040.56	CD - AMEGY
Count 26		80,053,491.12	