

# **Administration for Community Living**

Administration on Aging

Elder Justice Innovation Grants FY2025 HHS-2025-ACL-AOA-EJIG-0023 08/11/2025

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## **ACL Center:**

Administration on Aging

#### **Funding Opportunity Title:**

Elder Justice Innovation Grants FY2025

#### **Funding Opportunity Number:**

HHS-2025-ACL-AOA-EJIG-0023

### **Primary CFDA Number:**

93.747

#### **Due Date for Letter of Intent:**

### **Due Date for Applications:**

08/11/2025

## **Date for Informational Conference Call:**

Applications that fail to meet the application due date will not be reviewed and will receive no further consideration. You are strongly encouraged to submit your application a minimum of 3-5 days prior to the application closing date. Do not wait until the last day in the event you encounter technical difficulties, either on your end or, with <a href="https://www.grants.gov">https://www.grants.gov</a>. Grants.gov can take up to 48 hours to notify you of a successful submission.

# **Executive Summary**

## **Additional Overview Content/Executive Summary**

The Elder Justice Innovation Grants program supports the development and advancement of knowledge and approaches in new and emerging issues related to elder justice and adult maltreatment prevention.

This NOFO will support the development, testing and dissemination of projects that will:

- 1. Reduce the number of adults experiencing or at risk of experiencing maltreatment.
- 2. Preserve the autonomy of, reduce harm to, and lower the risk of recurrence for individuals experiencing, abuse, neglect and financial exploitation.
- 3. Restore a healthy living situation for adults who have experienced abuse, neglect, or financial exploitation.
- 4. Increase the well-being of those experiencing abuse, neglect, or financial exploitation.

Funded projects will contribute to the improvement of the field of adult maltreatment prevention and intervention by undertaking initiatives such as developing programs, materials, or interventions that will implement and test adult maltreatment prevention or Adult Protective Services (APS) intervention. ACL is specifically interested in funding programs or initiatives that:

- 1. Prevent adult maltreatment from occurring, or prevent further harm by intervening early in a situation of adult maltreatment, AND
- 2. Measurably improve the outcomes of individuals experiencing, or at risk of experiencing, adult maltreatment.

Through this program announcement, ACL plans to award up to eight (8) cooperative agreements, ranging from \$250,000 - \$500,000 per award for a 36-month project period.

# I. Funding Opportunity Description

#### Background

The most recent data available on the prevalence of adult maltreatment (this funding opportunity will use the term "adult maltreatment" and should be understood to include physical, sexual, and emotional abuse; financial exploitation; neglect; and self-neglect of older adults and adults with disabilities) suggests that at least 10 percent of older Americans – approximately 5 million persons—experience emotional, physical, or sexual abuse and neglect each year, and many of them experience it in multiple forms. In addition, data from APS agencies show an increasing trend in reports of abuse accepted from 2020 to 2021.

Despite the prevalence of adult maltreatment and the increase in reports, it is widely documented that research about adult maltreatment is still sparse, especially related to effective evidence-based prevention, intervention, and remediation practices. Understanding of the phenomena of adult maltreatment continues to fall behind understanding of either child maltreatment or intimate partner violence, as do the services for victims of adult maltreatment compared to those available to victims of other forms of family violence. While states, communities, and researchers have made progress on studying and implementing such models, there is still work to be done.

## Description

To help fill these gaps in knowledge and practices described above, ACL provides competitive grant awards under the "Elder Justice Innovation Grants" program. The Elder Justice Innovation Grants program supports the development and advancement of knowledge and approaches about new and emerging issues related to elder justice. In FY 2025, ACL invites applicants to propose innovative, new, or novel ideas, programs, or approaches that have a high likelihood of resulting in improved, measurable outcomes for individuals experiencing, or are at risk of experiencing, abuse, neglect and exploitation. Specifically, ACL seeks applications for projects that will implement and test adult maltreatment prevention or APS intervention programs or initiatives that:

- 1. Prevent adult maltreatment from occurring, or prevent further harm by intervening early in a situation of adult maltreatment, AND
- 2. Measurably improve the outcomes of individuals experiencing, or at risk of experiencing, adult maltreatment. Examples of outcomes include:
  - a. Reduction in number of adults experiencing or at risk of experiencing adult maltreatment.
  - b. Measurable improvements in preserving the autonomy, reducing or ameliorating harm, and mitigating the risk of recurrence for individuals experiencing, abuse, neglect and financial exploitation.
  - c. Efficiently and effectively restore adults who have experienced abuse, neglect, or financial exploitation.
  - d. Increasing the health and well-being of those experiencing abuse, neglect, or financial exploitation.

Below are examples of projects that would meet the goal and objectives of this funding opportunity. This list is for illustrative purposes only and is not exhaustive:

- Implement and evaluate individual- or system-level services or responses that address the harmful consequences of adult maltreatment and/or reduce repeat abuse;
- Enhance or improve investigations of, and responses to, adult maltreatment;
- Develop, support, or strengthen interagency collaboration to better serve individuals experiencing, or at risk of experiencing, adult maltreatment;
- Improve the quality of information collected and reported by APS agencies about the incidence and prevalence of adult maltreatment; or
- Improve the state APS program in a manner that improves outcomes for the people served by APS.

## Requirements

Section IV.2 outlines the required elements for applications, and applicants are advised to pay close attention to the following.

- 1. Applications must describe the following in the <u>project narrative</u> section:
  - The specific issues the proposed project will address;
  - What the applicant expects to produce, accomplish, or achieve with this funding;
  - Why the selected approach is likely to produce the most significant knowledge or impact over other, possible approaches; and

- All products or materials to be created as part of the grant.
- 1. A <u>logic model</u> is required with applications.

A logic model must show what activities the applicant will undertake to accomplish the project's goals and objectives, the anticipated outcomes, and how the applicant will measure the outcomes and success of the overall project. A sample logic model is included in the Appendix. Logic models serve as tools to help organize project planning. As a condition of the award, new grantees will be asked to make any necessary revisions to their logic model of their project within the first 90 days of the project.

1. Grantees must include a project evaluation.

Grantee evaluations of their projects facilitate the government in assessing whether programs are effective in producing positive change. Applications *must include* a description of the method/s that will be employed to successfully measure whether the project has achieved its proposed outcome(s), as well as the overall goal for this funding opportunity. Applicants should describe how the evaluation will provide evidence of the effectiveness and return on investment of the program or approach to addressing adult maltreatment. Applicants should be prepared to include progress and information/data on the project's outcomes and the evaluation in semi-annual reports and at other times as agreed upon by the grantee and ACL.

#### 1. Project Impact Reports

HHS grants recipients are required to submit a final project report (45 CFR 75.342). In addition to the final report, any grantee under the Elder Justice Innovation Grants program must submit to ACL a "Project Impact Report" (i.e., a document or briefing paper) at the end of the project period that is of professional quality for public dissemination that discusses the funded project, its results, and implications and suggestions for furthering the knowledge base on the topic addressed.

#### **Section References:**

- 1. Acierno, R., Hernandez-Tejada, M. A., Anetzberger, G. J., Loew, D., & Muzzy, W. (2017). The National Elder Mistreatment Study: An 8-year longitudinal study of outcomes. Journal of elder abuse & neglect, 29(4), 254–269. Retrieved from <a href="https://doi.org/10.1080/08946566.201">https://doi.org/10.1080/08946566.201</a>
- 2. McGee, L. & Urban, K. (2021). Adult Maltreatment Data Report 2020. Submitted to the Administration for Community Living, U.S. Department of Health and Human Services.

### **Statutory Authority**

Sections 411 of the Older Americans Act, as amended, and Title XX of the Social Security Act, Subtitle B, the Elder Justice Act of 2009, Section 2042.

## **II. Award Information**

Funding Instrument Type: CA (Cooperative Agreement) Estimated Total Funding: \$3,000,000 Expected Number of Awards: 8

Award Ceiling: \$500,000 Per Budget Period

Award Floor: \$250,000 Per Budget Period

Length of Project Period:

36-month project period with three 12-month budget periods

#### Additional Information on Project Periods and Explanation of 'Other'

Under this competition, ACL will award approximately eight (8) new, cooperative agreements ranging from \$250,000 - \$500,000 each. The project period for all awards will be for 36-months (or, 3 years), and the budget periods will be three (3), 12-month periods. Applications for continuation funding beyond the initial year will be reviewed on a non-competitive basis, subject to the availability of funds, contingent on satisfactory progress of the grantee, and a determination by ACL that continued funding will be in the best interest of the government.

The use of subcontractors, subgrantees, or consultants (referred to as "subrecipients" in this funding opportunity announcement) to execute the proposal's programmatic activities may not constitute more than 20% of the total level of effort required to carry out substantive, programmatic activities at any time. If sub-recipients will be used to accomplish substantive, programmatic activities, applications must clearly identify the projects where sub-recipients will be engaged and clearly identify the total percentage of effort sub-recipients are estimated to provide. Please note that the maximum 30% level of effort does not apply per project or task but is a 30% maximum for all programmatic activities in total.

This funding opportunity announcement is for a new cooperative agreement. As provided by the terms of the Federal Grant and Cooperative Agreement Act of 1977 (P.L. 95-224), this Cooperative Agreement provides for the substantial involvement and collaboration of ACL in activities that the recipient organization will carry out in accordance with the provisions of the approved grant award.

By accepting an award under this funding opportunity announcement, the grantee agrees to execute the responsibilities outlined below:

- 1. Fulfill all the requirements of the grant initiative as outlined in this program announcement, as well as carry out project activities in their application as reviewed, approved, and awarded.
- 2. Collaborate with the Administration for Community Living (ACL) in the execution of the work plan and collaborate with ACL in understanding the programmatic and budgetary issues of the project. Based on these negotiations and emerging issues in the field, the grantee will revise the project work plan and/or budget as necessary.
- 3. Evaluate the impact of project activities and provide recommendations to ACL on ways to enhance the program.

- 4. Meet with the ACL project officer at least once each month, or at such other times as are agreed upon, to improve the effectiveness of the activities carried out under this Agreement.
- 5. Create products and/or materials under this award that are accurate, objective, unbiased, and of high professional quality, and that do not violate federal, departmental, or agency grant rules. Before publicly disseminating materials developed under this grant, grantee will provide the ACL project officer a copy of the final product for the ACL project officer to have at least 15 business days to ensure the product meets the requirements set forth in the program announcement and cooperative agreement.
- 6. Submit to the ACL project officer a final, clean copy of all data developed or supported with these grant funds, in the format in which it was developed or produced, as provided for under 45 CFR §75.322 "Intangible property and copyrights." Per § 75.322(b), "[t]he HHS awarding agency reserves a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use the work for Federal purposes, and to authorize others to do so." In addition, § 75.322(d) states HHS has the right to "obtain, reproduce, publish, or otherwise use the data produced under a federal award; and (2) authorize others to receive, reproduce, publish, or otherwise use such data for Federal purposes." This means that grantees may not withhold from ACL data or information produced from or by this project, including, but not limited to, outcome and evaluation data and materials produced by the grant.
- 7. Include the following disclaimer on all products produced using grant funding, including those produced by sub-awards:

"This [project/publication/program/website, etc.] [is/was] supported by the Administration for Community Living (ACL), U.S. Department of Health and Human Services (HHS) as part of a financial assistance award totaling \$XX with XX percentage funded by ACL/HHS and \$XX amount and XX percentage funded by non-government source(s). The contents are those of the author(s) and do not necessarily represent the official views of, nor an endorsement, by ACL/HHS, or the U.S. Government."

The ACL project officer will execute the responsibilities outlined below:

- 1. Perform the day-to-day federal responsibilities of managing a grant initiative and will work with the grantee to ensure that the minimum requirements for the grant are met.
- 2. Work cooperatively with the grantee to clarify the programmatic and budgetary issues to be addressed by the grantee project, and, as necessary, negotiate with grantee to achieve a mutually agreed upon solution to any needs identified by the grantee or ACL.
- 3. Assist the grantee project leadership in understanding the policy concerns and/or priorities of ACL, the Department of Health and Human Services, and the Federal government by conducting periodic briefings and by carrying out ongoing consultations. ACL will also share information with the grantee about other federally sponsored projects and activities relevant to the interests of the grantees and their activities.
- 4. Provide technical advice to the grantee on activities and tasks related to the fulfillment of the goals and objectives of this grant.
- 5. Provide technical advice on grantee work products to ensure they are accurate, objective, unbiased, and of high professional quality, and that they do not violate federal, departmental, or agency grant rules. Before publicly disseminating materials developed

under this grant, grantee will provide the ACL project officer a copy of the final product, and within 15 business days, the ACL project officer will provide guidance and feedback on whether the product meets the requirements set forth in the program announcement and this cooperative agreement.

- 6. Provide consultation to the grantee in identifying emerging issues and modifying work plan priorities as necessary as they relate to the goals and objectives of the grant program.
- 7. Meet with the grantee project director at least once each month, or at such other times as are agreed upon, to improve the effectiveness of the activities carried out under this Agreement.

Upon execution of this cooperative agreement, requests to modify or amend it or the work plan may be made by ACL or the awardee at any time. Modifications and/or amendments of the Cooperative Agreement or work plan shall be effective upon the mutual agreement of both parties, except where ACL is authorized under the Terms and Conditions of award, 45 CFR Part 75, or other applicable regulation or statute to make unilateral amendments. When an award is issued the cooperative agreement terms and conditions from the program announcement are incorporated by reference.

# **III. Eligibility Information**

## 1. Eligible Applicants

Unrestricted (i.e., open to any type of entity above).

Foreign entities are not eligible to compete for, or receive, awards made under this announcement.

# 2. Cost Sharing or Matching

Cost Sharing / Matching Requirement:

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For awards that do not require matching or cost sharing by statute, recipients are not expected to provide cost sharing or matching. However, recipients are allowed to voluntarily propose a commitment of non-federal resources. If an applicant decides to voluntarily contribute non-federal resources towards project costs and the costs are accepted by ACL, the non-federal resources will be included in the approved project budget. The applicant will be held accountable for all proposed non-federal resources as shown in the Notice of Award (NOA). A recipient's failure to meet the voluntary amount of non-federal resources that was accepted by ACL as part of the approved project costs and that was identified in the approved budget in the NOA, may result in the disallowance of federal funds. Recipients will be required to report these funds in the Federal Financial Reports.

Under this ACL program, ACL will fund no more than 75% of the project's total cost, which means the applicant must cover at least 25% of the project's total cost with non-federal resources. In other words, for every three (3) dollars received in federal funding, the applicant must contribute at least one (1) dollar in non-federal resources toward the project's total cost. This "three-to-one" ratio is reflected in the formula included under Item 18 in the "Instructions for Completing Requested Forms." You can use this formula to calculate your minimum required match. A common error applicants make is to match 25% of the federal share, rather than 25% of the project's total cost.

There are two types of match: 1) non-federal cash and 2) non-federal in-kind. In general, costs borne by the applicant and cash contributions of any and all third parties involved in the project, including sub-grantees, contractors and consultants, are considered matching funds. Volunteered time and use of facilities to hold meetings or conduct project activities may be considered in-kind (third party) donations. Examples of non-federal cash match includes budgetary funds provided from the applicant agency's budget for costs associated with the project. ACL encourages you to not exceed the minimum match requirement. Applications with a match greater than the minimum required will not receive additional consideration under the review. Match is not one of the responsiveness criteria as noted in Section III, 3 Application Screening Criteria.

## 3. Responsiveness and Screening Criteria

#### **Application Responsiveness Criteria**

ACL is establishing the following minimum criteria to assess whether applications received by ACL are minimally responsive to the goals and objectives of this funding opportunity. Applications that do not meet the following responsiveness criteria will be administratively eliminated and will not be reviewed. To pass screening for responsiveness, applications must meet the following criteria:

- 1. Applicant organization has demonstrated expertise in elder justice and/or adult protective services.
- 2. Applicant provides a project director for this project who will work on this project in a significant capacity (at least 20% of time).
- 3. Letters of Commitment are included for agencies, organizations, consultants, or entities that are <u>specifically named</u> to carry out any aspect of the project, other than the applicant. The letters of commitment are on the letterhead of the committing entity, detail the specific role and resources/activities that will be provided in support of the applicant's project, and are signed. Letters expressing support for the application do not meet this responsiveness element.
- 4. CVs/Resumes are included for each person identified as "key personnel".
- 5. Application includes a budget covering each of the 3 years (36 months) of the project.
- 6. Application includes a work plan covering each of the 3 years (36 months) of the project.

## **Application Screening Criteria**

All applications will be screened to assure a level playing field for all applicants. Applications that fail to meet the screening criteria described below will not be reviewed and will receive no further consideration. For an application to be reviewed, it must meet the following screening requirements:

- 1. Applications must be submitted electronically via https://www.grants.gov by 11:59 p.m., Eastern Time, by the due date listed in section IV.3 Submission Dates and Times.
- 2. The Project Narrative section of the Application must be double-spaced, on 8.5" x 11" plain white paper with 1" margins on both sides, and a standard font size of no less than 11 point, preferably Times New Roman or Arial.
- 3. The Project Narrative must not exceed 20 pages. Project Narratives that exceed 20 pages will have the additional pages removed and only the first 20 pages of the Project Narrative will be provided to the merit reviewers for funding consideration. **NOTE:** The

Project Work Plan, Letters of Commitment, and Vitae of Key Project Personnel are not counted as part of the Project Narrative for purposes of the 20-page limit.

Any claim of an unsuccessful submission due to system issues **requires** authenticated verification from <a href="https://www.grants.gov">https://www.grants.gov</a> indicating system problems existed at the time of your submission. For example, you will be required to provide an <a href="https://www.grants.gov">https://www.grants.gov</a> submission error notification and/or tracking number to substantiate missing the application deadline.

# IV. Application and Submission Information

## 1. Address to Request Application Package

Application materials can be obtained from <a href="https://www.grants.gov">https://www.grants.gov</a> or <a href="https://www.acl.gov/grants/applying-grants">https://www.acl.gov/grants/applying-grants</a>.

Please note, ACL requires applications for all announcements to be submitted electronically through <a href="http://www.grants.gov">http://www.grants.gov</a> in Workspace. Grants.gov Workspace is the standard way for organizations and individuals to apply for federal grants in Grants.gov. An overview and training on Grants.gov Workspace can be found here at:

https://www.grants.gov/web/grants/applicants/workspace-overview.html

The <u>Grants.gov</u> registration process can take several days. If your organization is not currently registered, please begin this process immediately. For assistance with <a href="https://www.grants.gov">https://www.grants.gov</a>, please contact them at <a href="mailto:support@grants.gov">support@grants.gov</a> or 800-518-4726 between 7:00 a.m. and 9:00 p.m. Eastern Time.

- At the <a href="https://www.grants.gov">https://www.grants.gov</a> website, you will find information about submitting an application electronically through the site, including the hours of operation. ACL strongly recommends that you do not wait until the application due date to begin the application process because of the time involved to complete the registration process.
- All applicants must have a UEI and be registered with the System for Award Management (SAM, <a href="www.sam.gov">www.sam.gov</a>) and maintain an active SAM registration until the application process is complete, and should a grant be made, throughout the life of the award. Effective June 11, 2018, when registering or renewing your registration, you must submit a notarized letter appointing the authorized Entity Administrator. Please be sure to read the FAQs located at <a href="www.sam.gov">www.sam.gov</a> to learn more. Applicants should allot sufficient time prior to the application deadline to finalize a new, or renew an existing registration. This action should allow you time to resolve any issues that may arise. Failure to comply with these requirements may result in your inability to submit your application or receive an award. Maintain documentation (with dates) of your efforts to register or renew at least two weeks before the deadline. See the SAM Quick Guide for Grantees at: SAM.GOV Quick Start Guide for Financial Assistance Registrations.

Note: Once your SAM registration is active, allow 24 to 48 hours for the information to be available in Grants.gov before you can submit an application through Grants.gov. This action should allow you time to resolve any issues that may arise. Failure to comply with these requirements may result in your inability to submit your application or receive an award.

• Note: Failure to submit the correct EIN Suffix can lead to delays in identifying your organization and access to funding in the Payment Management System.

- Effective October 1, 2010, HHS requires all entities that plan to apply for and ultimately receive federal grant funds from any HHS Operating/Staff Division (OPDIV/STAFFDIV) or receive subawards directly from the recipients of those grant funds to:
- 1. Register in SAM prior to submitting an application or plan;
- 2. Maintain an active SAM registration with current information at all times during which it has an active award or an application or plan under consideration by an OPDIV; and
- 3. Provide its UEI number in each application or plan to submit to the OPDIV.

Additionally, all first-tier subaward recipients must have a UEI number at the time the subaward is made.

- The Federal Government will transition from the DUNS Number to the New Unique Entity Identifier. As of April of 2022, the federal government stopped using the DUNS number to uniquely identify entities. At that point, entities doing business with the federal government will use a Unique Entity Identifier (SAM) created in SAM.gov. It is entered on the SF-424. It is a unique, nine-digit identification number, which provides unique identifiers of single business entities.
- You must submit all documents electronically, including all information included on the SF424 and all necessary assurances and certifications. In accordance with the Federal Government's efforts to reduce reporting burden for recipients of federal financial assistance, the general certification and representation requirements contained in the Standard Form 424B (SF-424B) Assurances Non-Construction Programs, and the Standard Form 424D (SF-424D) Assurances Construction Programs, have been standardized federal-wide. Effective January 1, 2020, the updated common certification and representation requirements will be stored and maintained within SAM. Organizations or individuals applying for federal financial assistance as of January 1, 2020, must validate the federally required common certifications and representations annually through SAM located at SAM.gov.
- After you electronically submit your application, you will receive an automatic acknowledgment from <a href="https://www.grants.gov">https://www.grants.gov</a> tracking number. The Administration for Community Living will retrieve your application form from <a href="https://www.grants.gov">https://www.grants.gov</a>.

U.S. Department of Health and Human Services Administration for Community Living

# 2. Content and Form of Application Submission

## **Letter of Intent**

Number of Days from Publication 15

Applicants are requested, but not required, to submit a letter of intent to apply for this funding opportunity to assist ACL in planning for the application independent review process. The purpose of the letter of intent is to allow our staff to estimate the number of independent reviewers needed and to avoid potential conflicts of interest in the review. Letters of intent should be sent to:

Letters of intent should be sent to:

U.S. Department of Health and Human Services

Administration for Community Living

Office of Elder Justice and Adult Protective Services

E-mail: contactelderjustice@acl.hhs.gov

Subject Line: L.O.I for HHS-ACL-AOA-EJIG-0023

# **Project Narrative**

The Project Narrative must be double-spaced, on 8.5" x 11" paper with 1" margins on both sides, and a standard font size of no less than 11 point, preferably Times New Roman or Arial. You can use smaller font sizes to fill in the Standard Forms and Sample Formats. The suggested length for the Project Narrative is 20 pages; 20 pages is the maximum length allowed. Project Narratives that exceed 20 pages will have the additional pages removed and only the first 20 pages of the Project Narrative will be provided to the merit reviewers for funding consideration.

The components of the Project Narrative <u>counted as part of the 20-page</u> limit include:

- 1. Project Relevance and Current Need
- 2. Goal(s) and Objective(s)
- 3. Proposed Approach
- 4. Stakeholder Involvement
- Measurable Outcomes & Evaluation
- 6. Dissemination
- 7. Organizational Capability and Project Management

The components of the Project Narrative that are REQUIRED but <u>NOT counted as part of the 20-page limit include:</u>

- Project Abstract
- Logic Model
- Project Work Plan
- Budget Narrative/Justification
- Letters of Commitment
- Works Cited

# **Project Abstract**

This section should include a brief (265 words maximum) description of the proposed project, including: goal(s), objectives, outcomes, and products to be developed. Detailed instructions for completing the summary/abstract are included in the "Instructions for Completing the Project Summary/Abstract."

# **Project Relevance and Current Need**

This section should describe, in both quantitative and qualitative terms, the nature and scope of the problem or issue the proposed intervention is designed to address. Specifically for this funding opportunity, this section should detail how the project is intended to impact outcomes of persons experiencing adult maltreatment. It is recommended that applicants focus their problem statement on the specific considerations bearing on the development of prevention and intervention programs as it pertains to their proposed activities. Strong applications will demonstrate that the proposed project is justified in terms of the most recent, relevant, and available information, evidence-based practices, and/or new and cutting-edge research.

## **Goals and Objectives**

Applications should describe their proposed project's goal(s) and major objectives, particularly in relation to the overarching goals and objectives ACL has for the Elder Justice Innovation Grants as described in the Funding Opportunity Description above. Goals include the project's overall purpose; like a mission statement that says what you want to do and where you want to be. Objectives are narrow, specific, and clear steps towards your goals. Applications are free to propose more than one goal and objective. However, application scores will not be based on, nor correspond to, the number of proposed goals or objectives.

## **Proposed Approach**

This section should provide a clear and concise description of the intervention you are proposing to use to address the problem described in the "Problem Statement". You should also describe the rationale for using the intervention, including factors such as: "lessons learned" for similar projects previously tested in your community, or in other areas of the country, or factors in the larger environment that have created the "right conditions" for the intervention. Also note any major barriers you anticipate encountering, and how your project will be able to overcome those barriers. If you are planning for strategic partnerships in the project, be sure to describe the work/activities they will undertake for the project.

# Stakeholder Involvement and Letters of Commitment from Key Participating Organizations and Agencies

This section should describe how you plan to involve stakeholders in a meaningful way in the planning and implementation of the proposed project. It should explain why the specific stakeholders are vital or essential for the project's overall success. Stakeholders can include other organizations, supporters, consumer groups, or individuals impacted by the problem you described above or the project you are proposing.

Letters of Commitment are <u>required</u> if an organization or entity that is not the applicant meets either of the following conditions:

- 1. The entity was specifically named to have a significant role in carrying out the project (either in the narrative, work plan, or budget), or
- 2. The entity is identified as a key or essential partner for the success of the project.

The letters of commitment must be on the letterhead of the committing agency, must specify the role and resources/activities that will be provided in support of the applicant's project, and must be signed by an individual with authority to commit to the proposed project. The organization's expertise, experience, and access to targeted population(s), if applicable, should also be described in the letter of commitment. Signed letters of commitment should be scanned and included as attachments in your application package, and they will not count towards the narrative page limit.

*Please Note:* Letters of Commitment are different from "Letters of Support." Letters of Support are letters that are general in nature that speak to the writer's belief in the capability of an applicant to accomplish a goal/task. Letters of support also may indicate an intent or interest to work together in the future. However, letters of support generally lack the specificity required of Letters of Commitment. Moreover, the author of a Letter of Support may not be identified by the work plan as a core/key partner or collaborator. Letters of Support are not required, and they will not be scored.

#### **Measurable Outcomes**

This section of the project narrative must clearly identify the measurable outcome(s) that will result from the project. (NOTE: ACL will not fund any project that does not include measurable outcomes). This section should also describe how the project's findings might benefit the field at large, (e.g., how the findings could help other organizations throughout the nation to address the same or similar problems.) List measurable outcomes in the optional work plan grid ("Project Work Plan – Sample Template") under "Measurable Outcomes" in addition to any discussion included in the narrative along with a description of how the project might benefit the field at large. You should keep the focus of this section on describing what outcome(s) will be produced by the project. You should use the "Evaluation" section below to describe how the outcome(s) will be measured and reported.

A "measurable outcome" is an observable end-result that describes how a particular intervention benefits consumers. It demonstrates the functional status, mental well-being, knowledge, skill, attitude, awareness or behavior.) It can also describe a change in the degree to which consumers exercise choice over the types of services they receive, or whether they are satisfied with the way a service is delivered. Additional examples include: a change in the responsiveness or cost-effectiveness of a service delivery system; a new model of support or care that can be replicated in the ACL network; new knowledge that can contribute to the field of community living; a measurable increase in community awareness; or a measurable increase in persons receiving services. A measurable outcome is not a measurable "output", such as: the number of clients served; the number of training sessions held; or the number of service units provided.

Your application will be scored on the clarity and nature of your proposed outcomes, not on the number of outcomes cited. It is totally appropriate for a project to have only ONE outcome that it is trying to achieve through the intervention reflected in the project's design.

#### **Evaluation**

This section should describe the method(s), techniques, and tools that will be used to: 1) determine whether the proposed interventions achieved anticipated outcomes, and 2) document the "lessons learned" – both positive and negative - from the project. A component of the evaluation plan should include a discussion of how success will be measured. At a minimum, applications must describe the proposed measures of success for the overall initiative.

#### Dissemination

This section should describe how the applicant expects to disseminate the project's results and findings to parties who might be interested in using the results of the project to inform practice, service delivery, program development, and/or policy making. Applications should discuss the methods they will use to disseminate information and results, how dissemination will be timely

and in easily understandable formats, and how it will reach those who would be interested in the findings and/or in replicating the project.

## **Logic Model**

In addition to the discussion in the narrative, applicants are required to submit a logic model that illustrates/shows the activities the applicant proposes to accomplish the project's goals and objectives, and the outcomes and measures of success of the overall project. Developing a logic model clarifies thinking and aids an organization in identifying outcomes and ways to document and measure progress toward defined objectives. Logic models provide a map for what to do, or what is occurring, and why it should contribute to successful progress toward a goal. A sample logic model is included in "Appendix", and more information can be found on ACL's website: <a href="https://acl.gov/sites/default/files/programs/2020-10/ACL%20Logic%20Model%20Guidance.pdf">https://acl.gov/sites/default/files/programs/2020-10/ACL%20Logic%20Model%20Guidance.pdf</a>.

Your project's Logic Model can be uploaded as an "attachment" and <u>does not count</u> towards the 20-page limit.

#### **Work Plan**

Applications must include a Project Work Plan for each potential year of grant funding, for this opportunity it should cover three (3) sequential years. The project work plan should reflect and be consistent with the Project Narrative and the proposed Budget, and include a statement of the project's overall goal(s), anticipated outcome(s), key objectives, and the major tasks/action steps that will be pursued to achieve the goal(s) and outcome(s). For each major tasks/action step, the work plan should identify timeframes involved (including start- and end-dates), and the lead person responsible for completing the task. A "Project Work Plan - Sample Template" has been included as a reference and resource, if desired. The work plan does not count towards the 20-page limit.

# **Organizational Capacity and Project Management**

In this Section, applicants will describe their overall knowledge, skills, and abilities to carry out the proposed project. Each application should include an organizational capacity statement. The organizational capacity statement should describe how the applicant agency (or the division of a larger agency which will have responsibility for this project) is organized, the nature and scope of its work and/or the capabilities it possesses. If appropriate, include an organization chart showing the relationship of the project to the current organization.

This section should discuss the qualifications of the organization and project team, current or previous relevant experience/expertise, and the record within adult maltreatment and implementing projects of similar scope and magnitude as this opportunity. Include information about any contractual organization(s) or persons that will have a significant role(s) in implementing the project and achieving project goals.

In describing organizational capacity, applicants should clearly limit subrecipient input such that subrecipients' activities do not constitute more than 30% of the level of effort your organization will expend on substantive, programmatic activities.

Supporting documentation must be included to demonstrate organizational capacity. Short vitae or resumes of only the named, key project staff must be included, and an organizational chart can

be included, if desired. Vitae/resumes and org charts should be included as attachments, and they will not count towards the narrative page limit.

This section should include a clear delineation of the roles and responsibilities of project staff, consultants, and partner organizations. It should specify who will have day-to-day responsibility for key tasks such as: leadership of project; monitoring the project's on-going progress, (i.e., measure of performance towards the goals stated in the funding opportunity announcement and for your specific intervention/activities) preparation of reports; communications with other partners and ACL. It should also describe the approach that will be used to monitor and track progress on the project's tasks and objectives.

Throughout the entire grant period, ACL expects the Project Director will be a demonstrated expert in adult maltreatment prevention and intervention and/or APS administration and will have substantial knowledge about and involvement in all aspects of the project.

#### **Works Cited**

Applicants must document all their source material. If any text, language and/or materials are from another source, the applicant must make it clear the material is being quoted and where the text comes from. The applicant must also cite any sources when they obtain numbers, ideas, or other material that is not their own. If the applicant fails to comply with this requirement, regardless of the severity or frequency of the plagiarism, the reviewers shall reduce their scores accordingly even to the degree of issuing no points at all. The "Works Cited" can be included as an attachment and will not count towards the 20-page limit.

## **Budget Narrative/Justification**

A combined multi-year Budget Narrative/Justification, as well as a detailed Budget Narrative/Justification for each year of potential grant funding is required. Accordingly, applications must include a budget for each of the three (3) years of this project. Applicants may select their own format or may use the template included in the attached document "Budget Narrative/Justification – Sample Format." Regardless of the format used, applicants are encouraged to pay particular attention to the attached template document, which provides an example of the level of detail sought.

Because the proposal must demonstrate a clear and strong relationship between the stated objectives, project activities, and the budget, applicants are encouraged to include a narrative budget justification that summarizes the cost estimated per proposed project, activity, or product. This budget discussion is helpful for reviewers in assessing the reasonableness of the type and amount of work that is planned, and what the applicant expects to be produced or achieved for the overall cost. The budget documents (charts, tables, narrative) will not count towards the narrative page limit.

# 3. Unique Entity Identifier and System for Award Management (SAM)

The Grants.gov registration process can take several days. If your organization is not currently registered, please begin this process immediately. For assistance with https://www.grants.gov, please contact them at <a href="mailto:support@grants.gov">support@grants.gov</a> or 800-518-4726 between 7:00 a.m. and 9:00 p.m. Eastern Time.

- At the https://www.grants.gov website, you will find information about submitting an application electronically through the site, including the hours of operation. ACL strongly recommends that you do not wait until the application due date to begin the application process because of the time involved to complete the registration process.
- All applicants must have a UEI number and be registered with the System for Award Management (SAM, www.sam.gov) and maintain an active SAM registration until the application process is complete, and should a grant be made, throughout the life of the award. Effective June 11, 2018, when registering or renewing your registration, you must submit a notarized letter appointing the authorized Entity Administrator. Please be sure to read the FAQs located at www.sam.gov to learn more. Applicants should allot sufficient time prior to the application deadline to finalize a new, or renew an existing registration. This action should allow you time to resolve any issues that may arise. Failure to comply with these requirements may result in your inability to submit your application or receive an award. Maintain documentation (with dates) of your efforts to register or renew at least two weeks before the deadline. See the SAM Quick Guide for Grantees at: <a href="SAM.GOV Quick Start Guide">SAM.GOV Quick Start Guide for Financial Assistance Registrations</a>.

Note: Once your SAM registration is active, allow 24 to 48 hours for the information to be available in Grants.gov before you can submit an application through Grants.gov. This action should allow you time to resolve any issues that may arise. Failure to comply with these requirements may result in your inability to submit your application or receive an award.

- Note: Failure to submit the correct EIN Suffix can lead to delays in identifying your organization and access to funding in the Payment Management System.
- Effective October 1, 2010, HHS requires all entities that plan to apply for and ultimately receive federal grant funds from any HHS Operating/Staff Division (OPDIV/STAFFDIV) or receive subawards directly from the recipients of those grant funds to:
- 1. Register in SAM prior to submitting an application or plan;
- 2. Maintain an active SAM registration with current information at all times during which it has an active award or an application or plan under consideration by an OPDIV; and
- 3. Provide its UEI number in each application or plan to submit to the OPDIV.

Additionally, all first-tier subaward recipients must have a UEI number at the time the subaward is made.

• The Federal Government will transition from the DUNS Number to the New Unique Entity Identifier. As of April of 2022, the federal government stopped using the DUNS number to uniquely identify entities. At that point, entities doing business with the federal government will use a Unique Entity Identifier (SAM) created in SAM.gov. They will no longer have to go to a third-party website to obtain their identifier. This transition allows the government to streamline the entity identification and validation process, making it easier and less burdensome for entities to do business with the federal government. If your entity is registered in SAM.gov today, your Unique Entity ID (SAM) has already been assigned and is viewable in SAM.gov. This includes inactive registrations. The Unique Entity ID is currently located below the DUNS Number on your entity

- registration record. Remember, you must be signed in to your SAM.gov account to view entity records. To learn how to view your Unique Entity ID (SAM) go to this help <u>article</u>.
- You must submit all documents electronically, including all information included on the SF424 and all necessary assurances and certifications. In accordance with the Federal Government's efforts to reduce reporting burden for recipients of federal financial assistance, the general certification and representation requirements contained in the Standard Form 424B (SF-424B) Assurances Non-Construction Programs, and the Standard Form 424D (SF-424D) Assurances Construction Programs, have been standardized federal-wide. Effective January 1, 2020, the updated common certification and representation requirements will be stored and maintained within SAM. Organizations or individuals applying for federal financial assistance as of January 1, 2020, must validate the federally required common certifications and representations annually through SAM located at SAM.gov.
- After you electronically submit your application, you will receive an automatic acknowledgment from <a href="https://www.grants.gov">https://www.grants.gov</a> tracking number. The Administration for Community Living will retrieve your application form from <a href="https://www.grants.gov">https://www.grants.gov</a>.

## 4. Submission Dates and Times

08/11/2025

Date for Informational Conference Call:

Applications that fail to meet the application due date will not be reviewed and will receive no further consideration. You are strongly encouraged to submit your application a minimum of 3-5 days prior to the application closing date. Do not wait until the last day in the event you encounter technical difficulties, either on your end or, with <a href="http://www.grants.gov">http://www.grants.gov</a>. Grants.gov can take up to 48 hours to notify you of a successful submission.

In addition, if you are submitting your application via Grants.gov, you must (1) be designated by your organization as an Authorized Organization Representative (AOR) and (2) register yourself with Grants.gov as an AOR. Details on these steps are outlined at the following Grants.gov web page: <a href="http://www.grants.gov/web/grants/register.html">http://www.grants.gov/web/grants/register.html</a>.

After you electronically submit your application, you will receive from Grants.gov an automatic notification of receipt that contains a Grants.gov tracking number. (This notification indicates receipt by Grants.gov only)

If you are experiencing problems submitting your application through Grants.gov, please contact the Grants.gov Support Desk, toll free, at 1-800-518-4726. You must obtain a Grants.gov Support Desk Case Number and must keep a record of it.

If you are prevented from electronically submitting your application on the application deadline because of technical problems with the Grants.gov system, please contact the person listed under For Further Information Contact in section VII of this notice and provide a written explanation of the technical problem you experienced with Grants.gov, along with the Grants.gov Support Desk Case Number. ACL will contact you after a determination is made on whether your application will be accepted.

<u>Note:</u> We will not consider your application for further review if you failed to fully register to submit your application to Grants.gov before the application deadline or if the technical problem you experienced is unrelated to the Grants.gov system.

If for any reason (including submitting to the wrong funding opportunity number or making corrections/updates) an application is submitted more than once prior to the application due date, ACL will only accept your last validated electronic submission, under the correct funding opportunity number, prior to the Grants.gov application due date as the final and only acceptable application

Unsuccessful submissions will require authenticated verification from <a href="http://www.grants.gov">http://www.grants.gov</a> indicating system problems existed at the time of your submission. For example, you will be required to provide an <a href="http://www.grants.gov">http://www.grants.gov</a> submission error notification and/or tracking number in order to substantiate missing the cut off date.

Grants.gov (<a href="http://www.grants.gov">http://www.grants.gov</a>) will automatically send applicants a tracking number and date of receipt verification electronically once the application has been successfully received and validated in <a href="http://www.grants.gov">http://www.grants.gov</a>.

## 5. Intergovernmental Review

This program is not subject to Executive Order (E.O.) 12372, Intergovernmental Review of Federal Programs.

## 6. Funding Restrictions

The following activities are not fundable:

- Construction and/or major rehabilitation of buildings
- Basic research (e.g. scientific or medical experiments)
- Continuation of existing projects without expansion or new and innovative approaches

**Note:** A recent Government Accountability Office (GAO) report has raised considerable concerns about grantees and contractors charging the Federal Government for additional meals outside of the standard allowance for travel subsistence known as per diem expenses. Executive Orders on Promoting Efficient Spending (E.O. 13589) and Delivering Efficient, Effective and Accountable Government (E.O. 13576) have been issued and instruct Federal agencies to promote efficient spending. Therefore, if meals are to be charged in your proposal, applicants should understand such costs must meet the following criteria outlined in the Executive Orders and HHS Grants Policy Statement:

- *Meals are generally unallowable except for the following:* 
  - o For subjects and patients under study (usually a research program);
  - Where specifically approved as part of the project or program activity, e.g., in programs providing children's services (e.g. Head Start);
  - When an organization customarily provides meals to employees working beyond the normal workday, as a part of a formal compensation arrangement,
  - As part of a per diem or subsistence allowance provided in conjunction with allowable travel; and
  - Under a conference grant, when meals are necessary and integral part of a conference, provided that meal costs are not duplicated in participants' per diem

or subsistence allowances. (Note: conference grant means the sole purpose of the award is to hold a conference.)

The following updated sections 2 CFR 200.216 "Prohibition on certain telecommunications and video surveillance services or equipment" became **effective on or after August 13, 2020**.

Recommended Actions for any recipient that has received a loan, grant, or cooperative agreement **on or after August 13, 2020**:

- Develop a compliance plan to implement 2 CFR 200.216 regulation.
- Develop and maintain internal controls to ensure that your organization does not expend federal funds (in whole or in part) on covered equipment, services or systems.
- Determine through reasonable inquiry whether your organization currently uses "covered telecommunication" equipment, services, or systems and take necessary actions to comply with the regulation as quickly as is feasibly possible.

## 7. Other Submission Requirements

# V. Application Review Information

#### 1. Criteria

Applications are scored by assigning a maximum of 100 points across five criteria. These scoring categories track with the organization of the Project Narrative described in Section IV.2 above.

- 1. Project Relevance & Current Need (10 points)
- 2. Goals, Objectives, and Proposed Approach (40 points)
- 3. Measurable Outcomes, Evaluation, and Impact (20 points)
- 4. Organizational Capacity and Project Management (10 points)
- 5. Budget (20 points)

#### Project Relevance & Current NeedMaximum Points: 10

The proposal is relevant to the intent, goals, and objectives of this funding announcement as described in Section I. The proposed project clearly and adequately identifies the current issues the proposal intends to address. The proposed project is justified in terms of the most recent, relevant, and available information and knowledge about adult maltreatment prevention and intervention, evidence- based practices, and/or new and cutting-edge research?

#### Goals, Objectives, and Proposed ApproachMaximum Points: 40

#### Goals and Objectives:

All identified goals and objectives are clearly identified, and are consistent with the purpose, goals, and objectives of this funding opportunity.

#### *Proposed Approach:*

The activities or interventions are clearly defined and justified, and the proposal reflects a coherent and feasible approach for successfully addressing the identified problem and achieving the identified outcomes, goals, and objectives. In addition to "what" will be done, the application clearly described how the proposed activities will be carried out. The applicant identifies potential barriers and opportunities that exist in the larger environment that may impact the project's success and describes how they will avert or minimize those risks.

#### Stakeholder Involvement:

The proposed approach identifies and involves in a meaningful way stakeholder groups that are critical for the success and sustainability of the project. If other, community-based organizations will be involved, the application demonstrates that the organization/s will be involved in a meaningful way in the planning and implementation of the proposed project. For the entities/partners identified by name as having a key role, the included Letters of Commitment express a clear commitment to the applicant's project and details the areas of responsibility or the work the named entity will assume for the project. The description of the committing partner's role in the Letters of Commitment is consistent with the applicant's description of their intended roles and contributions and the work plan.

#### Work Plan:

The project work plan is clear and comprehensive and covers each of the three (3) years of the project. The work plan includes specific objectives and tasks that link to measurable outcomes and presents sensible and feasible timeframes for the accomplishment of tasks presented. The roles and responsibilities of project staff, consultants, and partners are clearly defined and linked to specific objectives and tasks and are consistent with the level of effort described in the approach.

## Measurable Outcomes, Evaluation, and ImpactMaximum Points: 20

#### **Outcomes:**

The application presents expected project <u>outcomes</u> that are clear, realistic, and consistent with the objectives and purpose of the project and this funding opportunity. The proposed outcomes are quantifiable and measurable and are likely to be achieved.

#### **Evaluation:**

The project <u>evaluation</u> reflects a thoughtful and well-designed approach that will be able to measure whether the project has achieved its proposed outcome. The description of the evaluation plan includes the qualitative and/or quantitative methods that will be employed to reliably measure outcomes. The evaluation design will be able to capture "lessons learned" from the overall effort that might be of use to the field, especially those who might be interested in replicating the project.

#### Impact:

The proposed project will significantly benefit or contribute to the overall understanding of effective prevention of, or intervention in, adult maltreatment. The applicant clearly states what information, tools, or individual- or system-level changes the project will achieve.

#### Dissemination:

The dissemination plan will distribute the project's results and findings in a timely manner and in easily understandable formats to parties who might be interested in using the results of the project. The applicant reflects knowledge of current best practices in using technology, social media platforms, and various communication tools to distribute information quickly and broadly.

#### Organizational Capacity and Project ManagementMaximum Points: 10

The applicant organization clearly identifies their capacity for carrying out the proposed project and evaluation. The applicant demonstrates a successful history of projects similar in scope and

magnitude. It is clear how the applicant agency is organized, the nature and scope of its work, and the capabilities it possesses, and these demonstrate the ability for the applicant to successfully complete the project. The applicant agency is significantly and meaningfully involved in carrying out the project. If sub-award recipients are identified, subrecipients' activities do not constitute more than 30% of the level of effort the applicant organization will expend on substantive, programmatic activities.

The proposed project director(s), key staff, and consultants have the background, experience, and other qualifications required to carry out their designated roles, as demonstrated by submitted vitae or resumes. The project team demonstrates expertise in adult maltreatment or APS issues, and has a record of success with testing prevention, intervention, and/or response to adult maltreatment projects, interventions, or programs. key staff, and consultants are dedicating an appropriate amount of time to fulfill their obligations to the project.

There is a clear delineation of the roles and responsibilities of project staff, consultants, and partner organizations. The proposal includes a clear and coherent management plan that specifies who will have day-to-day responsibility for key tasks (such as leadership of project; monitoring the project's on-going progress; preparation of reports; communications with other partners and ACL). The approach to monitor and track progress on the project's tasks and objectives reasonable and feasible. The proposed project director(s) is dedicating an appropriate amount of time to manage the project, identify potential risks to the project, and fulfill their obligations to the project.

## **BudgetMaximum Points: 20**

The budget narrative/justification is comprehensive, justified, and reasonable in terms of the type and amount of work that is planned, and what the applicant expects to be produced or achieved for the cost each individual year and overall, for the three (3) years of the project period. The budget line items are clearly delineated and there is a clear and strong relationship between the stated objectives, project activities, the work expected to be performed, and what it will cost. The time commitment of the proposed director and other key project personnel that is reflected in the budget is consistent with the work plan delineation of tasks, and it is sufficient to assure proper direction, management, and timely completion of the project.

#### 2. Review and Selection Process

An independent review panel of at least three individuals will evaluate applications that pass the screening and meet the responsiveness criteria. These reviewers are experts in their field, and are drawn from academic institutions, non-profit organizations, state and local governments, and federal government agencies. Based on the Application Review Criteria as outlined under section V.1, the reviewers will comment on and score the applications, focusing their comments and scoring decisions on the identified criteria.

Final award decisions will be made by the Administrator, ACL. In making these decisions, the Administrator will take into consideration: recommendations of the review panel; a review for past programmatic and grants management compliance of the applicant; the reasonableness of the estimated cost to the government considering the available funding and anticipated results; and the likelihood that the proposed project will result in the benefits expected.

# 3. Anticipated Announcement Award Date

Award notices to successful applicants will be sent out prior to the project start date.

The anticipated project period start date for this announcement is: 09/30/2025

## VI. Award Administration Information

#### 1. Award Notices

Successful applicants will receive an electronic Notice of Award. The Notice of Award is the authorizing document from the U.S. Administration for Community Living authorizing official, Office of Grants Management. Acceptance of this award is signified by the drawdown of funds from the Payment Management System. Unsuccessful applicants are generally notified within 30 days of the final funding decision and will receive a disapproval letter via e-mail. Unless indicated otherwise in this announcement, unsuccessful applications will not be retained by the agency and will be destroyed.

## 2. Administrative and National Policy Requirements

The award is subject to HHS Administrative Requirements, which can be found in 45 CFR Part 75 and the Standard Terms and Conditions, included in the Notice of Award as well as implemented through the HHS Grants Policy Statement.

If you receive an award, you must follow all applicable nondiscrimination laws. You agree to this when you register in SAM.gov. You must also submit an Assurance of Compliance (<u>HHS-690</u>). To learn more, see the <u>HHS Office for Civil Rights website</u>.

A standard term and condition of award will be included in the final notice of award; all applicants will be subject to a term and condition that applies the terms of 48 CFR section 3.908 to the award and requires the grantees inform their employee in writing of employee whistleblower rights and protections under 41 U.S.C. 4712 in the predominant native language of the workforce.

Applicants may follow their own procurement policies and procedures when contracting with Project Funds, but You must comply with the requirements of 2 C.F.R. §§ 200.317-200.326. Additionally, when using Project Funds to procure supplies and/or equipment, applicants are encouraged to purchase American-manufactured goods to the maximum extent practicable. American-manufactured goods are those products for which the cost of their component parts that were mined, produced, or manufactured in the United States exceeds 50 percent of the total cost of all their components. For further guidance regarding what constitutes an American manufactured good (also known as a domestic end product), see 48 C.F.R. Part 25.

As of October 1, 2024, 2 CFR 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards updated to a new version. The eCFR is currently updating its site with the newly adopted content. Until that time, the links below to 2 CFR 200 will not include the changes. If you need to see specific changes while they complete that work, see 78 FR 78608.

Also as of October 1, 2024, HHS adopted several provisions in the new 2 CFR 200 that affect your application. These new provisions supersede those previously used in 45 CFR 75. The changes include:

#### **Indirect costs**

#### De minimis rate

If you use the de minimis rate to calculate indirect costs:

- When you calculate this rate, you will now use 15% of modified total direct costs (MTDC) rather than 10%. See 2 CFR 200.414(f).
- Additionally, when you calculate MTDC, you can now use up to \$50,000 of subawards and subcontracts rather than \$25,000. See 2 CFR 200.1.

#### Training awards

If your application is for a training award, your indirect cost rate remains capped at 8% of MTDC. However, when calculating MTDC , you can now use up to \$50,000 of subawards and subcontracts rather than \$25,000. See <u>2 CFR 200.1</u>.

#### **Budget**

When planning your budget, HHS now uses the definitions for <u>equipment</u> and <u>supplies</u> in 2 CFR 200.1. The new definitions change the threshold for equipment to the lesser of the recipient's capitalization level or \$10,000 and the threshold for supplies to below that amount.

#### All changes

HHS adopted all the following superseding provisions on October 1, 2024:

- 2 CFR 200.1, Definitions, Modified Total Direct Cost.
- 2 CFR 200.1, Definitions, Equipment.
- <u>2 CFR 200.1</u>, Definitions, Supplies.
- <u>2 CFR 200.313(e)</u>, Equipment, Disposition.
- 2 CFR 200.314(a), Supplies.
- 2 CFR 200.320, Methods of procurement to be followed.
- 2 CFR 200.333, Fixed amount subawards.
- 2 CFR 200.344, Closeout.
- <u>2 CFR 200.414(f)</u>, Indirect (F&A) costs.
- 2 CFR 200.501, Audit requirements.

## 3. Reporting

Reporting frequency for performance and financial reports, as well as any required form or formatting and the means of submission will be noted within the terms and conditions on the Notice of Award.

# 4. FFATA and FSRS Reporting

The Federal Financial Accountability and Transparency Act (FFATA) requires data entry at the FFATA Subaward Reporting System (<a href="http://www.FSRS.gov">http://www.FSRS.gov</a>) for all sub-awards and sub-contracts issued for \$30,000 or more as well as addressing executive compensation for both grantee and sub-award organizations.

For further guidance please follow this link to access ACL's Terms and Conditions: <a href="https://www.acl.gov/grants/managing-grant#">https://www.acl.gov/grants/managing-grant#</a>

# **VII. Agency Contacts**

**Project Officer** 

**First Name:** 

Kari

**Last Name:** 

Benson

Phone:

(202) 401-4634

Office:

Administration for Community Living

**Grants Management Specialist** 

First Name:

Rasheed

**Last Name:** 

Williams

Phone:

(202) 401-4634

Office:

Administration for Community Living

## VIII. Other Information

# The Paperwork Reduction Act of 1995 (P.L. 104-13)

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The project description and Budget Narrative/Justification is approved under OMB control number 0985-0018. Public reporting burden for this collection of information is estimated to average 10 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed and reviewing the collection information.

# **Appendix**

# Accessibility Provisions for All Grant Application Packages and Funding Opportunity Announcements

Should you successfully compete for an award, recipients of federal financial assistance (FFA) from HHS will be required to complete an HHS Assurance of Compliance form (HHS 690) in which you agree, as a condition of receiving the grant, to administer your programs in compliance with federal civil rights laws that prohibit discrimination on the basis of race, color, national origin, age, sex and disability, and agreeing to comply with federal conscience laws, where applicable. This includes ensuring that entities take meaningful steps to provide meaningful access to persons with limited English proficiency; and ensuring effective communication with persons with disabilities. The HHS Office for Civil Rights provides guidance on complying with civil rights laws enforced by HHS. See <a href="https://www.hhs.gov/civil-rights/for-individuals/nondiscrimination/index.html">https://www.hhs.gov/civil-rights/for-individuals/nondiscrimination/index.html</a>.

- Recipients of FFA must ensure that their programs are accessible to persons with limited English proficiency. HHS provides guidance to recipients of FFA on meeting their legal obligation to take reasonable steps to provide meaningful access to their programs by persons with limited English proficiency. Please see <a href="https://www.hhs.gov/civil-rights/for-individuals/special-topics/limited-english-proficiency/fact-sheet-guidance/index.html">https://www.lep.gov/civil-rights/for-individuals/special-topics/limited-english-proficiency/fact-sheet-guidance/index.html</a> and <a href="https://www.lep.gov">https://www.lep.gov</a>. For further guidance on providing culturally and linguistically appropriate services, recipients should review the National Standards for Culturally and Linguistically Appropriate Services in Health and Health Care at <a href="https://minorityhealth.hhs.gov/">https://minorityhealth.hhs.gov/</a>.
- Recipients of FFA also have specific legal obligations for serving qualified individuals with disabilities. Please see http://www.hhs.gov/ocr/civilrights/understanding/disability/index.html.
- HHS funded health and education programs must be administered in an environment free of sexual harassment. Please see <a href="https://www.hhs.gov/civil-rights/for-individuals/sex-discrimination/index.html">https://www.hhs.gov/civil-rights/for-individuals/sex-discrimination/index.html</a>; <a href="https://www.eeoc.gov/sexual-harassment">https://www.eeoc.gov/sexual-harassment</a>.
- Recipients of FFA must also administer their programs in compliance with applicable
  federal religious nondiscrimination laws and applicable federal conscience protection and
  associated anti-discrimination laws. Collectively, these laws prohibit exclusion, adverse
  treatment, coercion, or other discrimination against persons or entities on the basis of
  their consciences, religious beliefs, or moral convictions. Please see
  <a href="https://www.hhs.gov/conscience/your-protections-against-discrimination-based-on-conscience-and-religion/index.html">https://www.hhs.gov/conscience/your-protections-against-discrimination-based-on-conscience-and-religion/index.html</a>
- Please contact the HHS Office for Civil Rights for more information about obligations and prohibitions under federal civil rights laws at <a href="https://www.hhs.gov/ocr/about-us/contact-us/index.html">https://www.hhs.gov/ocr/about-us/contact-us/index.html</a> or call 1-800-368-1019 or TDD 1-800-537-7697.

If you receive an award, HHS may terminate it if any of the conditions in <u>2 CFR 200.340(a)(1)-(4)</u> are met. No other termination conditions apply.

# **Instructions for Completing Required Forms**

This section provides step-by-step instructions for completing the four (4) standard Federal forms required as part of your grant application, including special instructions for completing Standard Budget Forms 424 and 424A. Standard Forms 424 and 424A are used for a wide variety of Federal grant programs, and Federal agencies have the discretion to require some or all of the information on these forms. ACL does not require all the information on these Standard Forms. Accordingly, please use the instructions below in lieu of the standard instructions attached to SF 424 and 424A to complete these forms.

#### a. Standard Form 424

- 1. **Type of Submission:** (REQUIRED): Select one type of submission in accordance with agency instructions.
  - Preapplication
  - Application
  - Changed/Corrected Application If ACL requests, check if this submission is to change or correct a previously submitted application.

- 2. **Type of Application**: (REQUIRED) Select one type of application in accordance with agency instructions.
  - New
  - Continuation
  - Revision
- 3. **Date Received:** Leave this field blank.
- 4. Applicant Identifier: Leave this field blank
- 5a **Federal Entity Identifier**: Leave this field blank
- 5b. **Federal Award Identifier**: For new applications leave blank. For a continuation or revision to an existing award, enter the previously assigned Federal award (grant) number.
- 6. **Date Received by State:** Leave this field blank.
- 7. **State Application Identifier:** Leave this field blank.
- 8. **Applicant Information**: Enter the following in accordance with agency instructions:
- **a. Legal Name**: (REQUIRED): Enter the name that the organization has registered with the System for Award Management (SAM), formally the Central Contractor Registry. Information on registering with SAM may be obtained by visiting the Grants.gov website (https://www.grants.gov) or by going directly to the SAM website (www.sam.gov).
- **b.** Employer/Taxpayer Number (EIN/TIN): (REQUIRED): Enter the Employer or Taxpayer Identification Number (EIN or TIN) as assigned by the Internal Revenue Service. In addition, we encourage the organization to include the correct suffix used to identify your organization in order to properly align access to the Payment Management System.
- **c. Organizational UEI** (REQUIRED): If your entity is registered in SAM.gov today, your Unique Entity ID (SAM) has already been assigned and is viewable in SAM.gov. This includes inactive registrations. The Unique Entity ID is currently located below the DUNS Number on your entity registration record. Remember, you must be signed in to your SAM.gov account to view entity records.
- **d. Address**: (REQUIRED) Enter the complete address including the county.
- **e. Organizational Unit:** Enter the name of the primary organizational unit (and department or division, if applicable) that will undertake the project.
- **f. Name and contact information of person to be contacted on matters involving this application**: Enter the name (First and last name required), organizational affiliation (if affiliated with an organization other than the applicant organization), telephone number (Required), fax number, and email address (Required) of the person to contact on matters related to this application.
- 9. **Type of Applicant:** (REQUIRED) Select the applicant organization "type" from the following drop down list.

A. State Government B. County Government C. City or Township Government D. Special District Government E. Regional Organization F. U.S. Territory or Possession G. Independent School District H. Public/State Controlled Institution of Higher Education I. Indian/Native American Tribal Government (Federally Recognized) J. Indian/Native American Tribal Government (Other than Federally Recognized) K. Indian/Native American Tribally Designated Organization L. Public/Indian Housing Authority M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education) N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education) O. Private Institution of Higher Education P. Individual Q. For-Profit Organization (Other than Small Business) R. Small Business S. Hispanic-serving

Institution T. Historically Black Colleges and Universities (HBCUs) U. Tribally Controlled Colleges and Universities (TCCUs) V. Alaska Native and Native Hawaiian Serving Institutions W. Non-domestic (non-US) Entity X. Other (specify)

- 10. Name of Federal Agency: (REQUIRED) Enter U.S. Administration for Community Living
- 11. **Catalog of Federal Domestic Assistance Number/Title:** The CFDA number can be found on page one of the Program Announcement.
- 12. **Funding Opportunity Number/Title:** (REQUIRED) The Funding Opportunity Number and title of the opportunity can be found on page one of the Program Announcement.
- 13. **Competition Identification Number/Title:** Leave this field blank.
- 14. **Areas Affected by Project:** List the largest political entity affected (cities, counties, state etc.)
- 15. **Descriptive Title of Applicant's Project:** (REQUIRED) Enter a brief descriptive title of the project (This is not a narrative description).
- 16. **Congressional Districts Of**: (REQUIRED) 16a. Enter the applicant's Congressional District, and 16b. Enter all district(s) affected by the program or project. Enter in the format: 2 characters State Abbreviation 3 characters District Number, e.g., CA-005 for California 5th district, CA-012 for California 12<sup>th</sup> district, NC-103 for North Carolina's 103rd district. If all congressional districts in a state are affected, enter "all" for the district number, e.g., MD-all for all congressional districts in Maryland. If nationwide, i.e. all districts within all states are affected, enter US-all. See the below website to find your congressional district:

### https://www.house.gov/

- 17. **Proposed Project Start and End Dates**: (REQUIRED) Enter the proposed start date and final end date of the project. **If you are applying for a multi-year grant, such as a 3 year grant project, the final project end date will be 3 years after the proposed start date.** In general, all start dates on the SF424 should be the 1<sup>st</sup> of the month and the end date of the last day of the month of the final year, for example 7/01/2014 to 6/30/2017. The Grants Officer can alter the start and end date at their discretion.
- 18. **Estimated Funding:** (REQUIRED) If requesting multi-year funding, enter the full amount requested from the Federal Government in line item 18.a., as a multi-year total. For example and illustrative purposes only, if year one is \$100,000, year two is \$100,000, and year three is \$100,000, then the full amount of federal funds requested would be reflected as \$300,000. The amount of matching funds is denoted by lines b. through f. with a combined federal and nonfederal total entered on line g. Lines b. through f. represents contributions to the project by the applicant and by your partners during the total project period, broken down by each type of contributor. The value of in-kind contributions should be included on appropriate lines, as applicable.

**NOTE:** Applicants should review cost sharing or matching principles contained in Subpart C of 45 CFR Part 75 before completing Item 18 and the Budget Information Sections A, B and C noted below.

All budget information entered under item 18 should cover the total project period. For sub-item 18a, enter the federal funds being requested. Sub-items 18b-18e is considered matching funds. For ACL programs that have a cost-matching requirement (list here), the dollar amounts entered in sub-items 18b-18f must total at least 1/3 of the amount of federal funds being requested (the amount in 18a). For a full explanation of ACL's match requirements, see the information in the box below. For sub-item 18f (program income), enter only the amount, if any, that is going to be used as part of the required match. Program Income submitted as match will become a part of the

award match and recipients will be held accountable to meet their share of project expenses even if program income is not generated during the award period.

There are two types of match: 1) non-federal cash and 2) non-federal in-kind. In general, costs borne by the applicant and cash contributions of any and all third parties involved in the project, including sub-grantees, contractors and consultants, are considered **matching funds**. Examples of **non-federal cash match** includes budgetary funds provided from the applicant agency's budget for costs associated with the project. Generally, most contributions from sub-contractors or sub-grantees (third parties) will be non-federal in-kind matching funds. Volunteered time and use of third party facilities to hold meetings or conduct project activities may be considered in-kind (third party) donations.

NOTE: **Indirect charges** may only be requested if: (1) the applicant has a current indirect cost rate agreement approved by the Department of Health and Human Services or another federal agency; or (2) the applicant is a state or local government agency. State governments should enter the amount of indirect costs determined in accordance with HHS requirements. **If indirect costs are to be included in the application, a copy of the approved indirect cost agreement or cost allocation plan must be included with the application. Further, if any subcontractors or sub-grantees are requesting indirect costs, a copy of the latest approved indirect cost agreements must also be included with the application, or reference to an approved cost allocation plan.** 

- 19. **Is Application Subject to Review by State Under Executive Order 12372 Process?** Please refer to IV. Application and Submission Information, 4. Intergovernmental Review to determine if the ACL program is subject to E.O. 12372 and respond accordingly.
- 20. **Is the Applicant Delinquent on any Federal Debt?** (Required) This question applies to the applicant organization, not the person who signs as the authorized representative. If yes, include an explanation on the continuation sheet.
- 21. **Authorized Representative**: (Required) To be signed and dated by the authorized representative of the applicant organization. Enter the name (First and last name required) title (Required), telephone number (Required), fax number, and email address (Required) of the person authorized to sign for the applicant. A copy of the governing body's authorization for you to sign this application as the official representative must be on file in the applicant's office. (Certain federal agencies may require that this authorization be submitted as part of the application.)

#### **Standard Form 424A**

NOTE: Standard Form 424A is designed to accommodate applications for multiple grant programs; thus, for purposes of this ACL program, many of the budget item columns and rows are not applicable. You should only consider and respond to the budget items for which guidance is provided below. Unless otherwise indicated, the SF 424A should reflect a multi-year budget.

## **Section A - Budget Summary**

**Line 5**: Leave columns (c) and (d) blank. Enter TOTAL Federal costs in column (e) and total non federal costs (including third party in-kind contributions and any program income to be used as part of the grantee match) in column (f). Enter the sum of columns (e) and (f) in column (g).

#### **Section B - Budget Categories**

Column 1: Enter the breakdown of how you plan to use the Federal funds being requested by object class category.

Column 2: Enter the breakdown of how you plan to use the non-Federal share by object class category.

Column 5: Enter the total funds required for the project (sum of Columns 1 and 2) by object class category.

#### **Section C - Non-Federal Resources**

Column A: Enter the federal grant program.

Column B: Enter in any non-federal resources that the applicant will contribute to the project.

Column C: Enter in any non-federal resources that the state will contribute to the project.

Column D: Enter in any non-federal resources that other sources will contribute to the project.

Column E: Enter the total non-federal resources for each program listed in column A.

#### **Section D - Forecasted Cash Needs**

**Line 13:** Enter Federal forecasted cash needs broken down by quarter for the first year only.

Line 14: Enter Non-Federal forecasted cash needs broken down by quarter for the first year.

**Line 15:** Enter total forecasted cash needs broken down by guarter for the first year.

Note: This area is not meant to be one whereby an applicant merely divides the requested funding by four and inserts that amount in each quarter but an area where thought is given as to how your estimated expenses will be incurred during each quarter. For example, if you have initial startup costs in the first quarter of your award reflect that in quarter one or you do not expect to have contracts awarded and funded until quarter three, reflect those costs in that quarter.

# Section E – Budget Estimates of Federal Funds Needed for Balance of the Project (i.e. subsequent years 2, 3, 4 or 5 as applicable).

Column A: Enter the federal grant program

Column B (first): Enter the requested year two funding.

Column C (second): Enter the requested year three funding.

Column D (third): Enter the requested year four funding, if applicable.

Column E (forth): Enter the requested year five funding, if applicable.

## **Section F – Other Budget Information**

**Line 21:** Enter the total Indirect Charges

**Line 22**: Enter the total Direct charges (calculation of indirect rate and direct charges).

**Line 23:** Enter any pertinent remarks related to the budget.

#### Separate Budget Narrative/Justification Requirement

Applicants requesting funding for multi-year grant programs are REQUIRED to provide a combined multi-year Budget Narrative/Justification, as well as a detailed Budget Narrative/Justification for each year of potential grant funding. A separate Budget Narrative/Justification is also REQUIRED for each potential year of grant funding requested.

For your use in developing and presenting your Budget Narrative/Justification, a sample format with examples and a blank sample template have been included in these Attachments. In your Budget Narrative/Justification, you should include a breakdown of the budgetary costs for all of the object class categories noted in Section B, across three columns: Federal; non-Federal cash; and non-Federal in-kind. Cost breakdowns, or justifications, are required for any cost of \$1,000 or for the thresholds as established in the examples. The Budget Narratives/Justifications should fully explain and justify the costs

in each of the major budget items for each of the object class categories, as described below. Non-Federal cash as well as, sub-contractor or sub-grantee (third party) in-kind contributions designated as match must be clearly identified and explained in the Budget Narrative/Justification The full Budget Narrative/Justification should be included in the application immediately following the SF 424 forms.

Line 6a: **Personnel**: Enter total costs of salaries and wages of applicant/grantee staff. Do not include the costs of consultants, which should be included under 6h Other.

**In the Justification**: Identify the project director, if known. Specify the key staff, their titles, and time commitments in the budget justification.

Line 6b: **Fringe Benefits**: Enter the total costs of fringe benefits unless treated as part of an approved indirect cost rate.

**In the Justification**: If the total fringe benefit rate exceeds 35% of Personnel costs, provide a breakdown of amounts and percentages that comprise fringe benefit costs, such as health insurance, FICA, retirement, etc. A percentage of 35% or less does not require a breakdown but you must show the percentage charged for each full/part time employee.

Line 6c: **Travel**: Enter total costs of all travel (local and non-local) for staff on the project. NEW: Local travel is considered under this cost item not under Other. Local transportation (all travel which does not require per diem is considered local travel). Do not enter costs for consultant's travel - this should be included in line 6h.

**In the Justification**: Include the total number of trips, number of travelers, destinations, purpose (e.g., attend conference), length of stay, subsistence allowances (per diem), and transportation costs (including mileage rates).

Line 6d: **Equipment**: Enter the total costs of all equipment to be acquired by the project. For all grantees, "equipment" is nonexpendable tangible personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit. If the item does not meet the \$5,000 threshold, include it in your budget under Supplies, line 6e.

**In the Justification**: Equipment to be purchased with federal funds must be justified as necessary for the conduct of the project. The equipment must be used for project-related functions. Further, the purchase of specific items of equipment should not be included in the submitted budget if those items of equipment, or a reasonable facsimile, are otherwise available to the applicant or its subrecipient.

Line 6e: **Supplies**: Enter the total costs of all tangible expendable personal property (supplies) other than those included on line 6d.

**In the Justification**: For any grant award that has supply costs in excess of 5% of total direct costs (Federal or Non-Federal), you must provide a detailed break down of the supply items (e.g., 6% of \$100,000 = \$6,000 -breakdown of supplies needed). If the 5% is applied against \$1 million total direct costs (5% x \$1,000,000 = \$50,000) a detailed breakdown of supplies is not needed. Please note: any supply costs of \$10,000 or less regardless of total direct costs does not require a detailed budget breakdown (e.g., 5% x \$200,000 = \$10,000 -no breakdown needed). Line 6f: **Contractual**: Regardless of the dollar value of any contract, you must follow your established policies and procedures for procurements and meet the minimum standards established in the Code of Federal Regulations (CFR's) mentioned below. Enter the total costs of all contracts, including (1) procurement contracts (except those which belong on other lines such as equipment, supplies, etc.). Note: The 33% provision has been removed and line item budget detail is not required as long as you meet the established procurement standards. Also

include any awards to organizations for the provision of technical assistance. Do not include payments to individuals on this line. Please be advised: A subrecipient is involved in financial assistance activities by receiving a sub-award and a subcontractor is involved in procurement activities by receiving a sub-contract. Through the recipient, a subrecipient performs work to accomplish the public purpose authorized by law. Generally speaking, a sub-contractor does not seek to accomplish a public benefit and does not perform substantive work on the project. It is merely a vendor providing goods or services to directly benefit the recipient, for example procuring landscaping or janitorial services. In either case, you are encouraged to clearly describe the type of work that will be accomplished and type of relationship with the lower tiered entity whether it be labeled as a subaward or subcontract.

**In the Justification**: Provide the following three items − 1) Attach a list of contractors indicating the name of the organization; 2) the purpose of the contract; and 3) the estimated dollar amount. If the name of the contractor and estimated costs are not available or have not been negotiated, indicate when this information will be available. The Federal government reserves the right to request the final executed contracts at any time. If an individual contractual item is over the small purchase threshold, currently set at \$100K in the CFR, you must certify that your procurement standards are in accordance with the policies and procedures as stated in 45 CFR Part 75 for states, in lieu of providing separate detailed budgets. This certification should be referenced in the justification and attached to the budget narrative.

Line 6g: **Construction**: Leave blank since construction is not an allowable costs for this program.

Line 6h: **Other**: Enter the total of all other costs. Such costs, where applicable, may include, but are not limited to: insurance, medical and dental costs (i.e. for project volunteers this is different from personnel fringe benefits), non-contractual fees and travel paid directly to individual consultants, postage, space and equipment rentals/lease, printing and publication, computer use, training and staff development costs (i.e. registration fees). If a cost does not clearly fit under another category, and it qualifies as an allowable cost, then rest assured this is where it belongs. Note: A recent Government Accountability Office (GAO) report number 11-43, has raised considerable concerns about grantees and contractors charging the Federal government for additional meals outside of the standard allowance for travel subsistence known as per diem expenses. If meals are to be charged towards the grant they must meet the following criteria outlined in the Grants Policy Statement:

*Meals are generally unallowable except for the following:* 

*For subjects and patients under study(usually a research program);* 

Where specifically approved as part of the project or program activity, e.g., in programs providing children's services (e.g., Headstart);

When an organization customarily provides meals to employees working beyond the normal workday, as a part of a formal compensation arrangement;

As part of a per diem or subsistence allowance provided in conjunction with allowable travel; and

Under a conference grant, when meals are a necessary and integral part of a conference, provided that meal costs are not duplicated in participants' per diem or subsistence allowances (Note: the sole purpose of the grant award is to hold a conference).

**In the Justification**: Provide a reasonable explanation for items in this category. For example, individual consultants explain the nature of services provided and the relation to activities in the

work plan or indicate where it is described in the work plan. Describe the types of activities for staff development costs.

Line 6i: **Total Direct Charges**: Show the totals of Lines 6a through 6h.

Line 6j: **Indirect Charges**: Enter the total amount of indirect charges (costs), if any. If no indirect costs are requested, enter "none." Indirect charges may be requested if: (1) the applicant has a current indirect cost rate agreement approved by the Department of Health and Human Services or another federal agency; or (2) the applicant is a state or local government agency.

**State governments should enter the amount of indirect costs determined in accordance with DHHS requirements.** An applicant that will charge indirect costs to the grant must enclose a copy of the current rate agreement. Indirect Costs can only be claimed on Federal funds, more specifically, they are to only be claimed on the Federal share of your direct costs. Any unused portion of the grantee's eligible Indirect Cost amount that are not claimed on the Federal share of direct charges can be claimed as un-reimbursed indirect charges, and that portion can be used towards meeting the recipient match.

Line 6k: **Total**: Enter the total amounts of Lines 6i and 6j.

Line 7: **Program Income**: As appropriate, include the estimated amount of income, if any, you expect to be generated from this project that you wish to designate as match (equal to the amount shown for Item 15(f) on Form 424). **Note:** Any program income indicated at the bottom of Section B and for item 15(f) on the face sheet of Form 424 will be included as part of non-Federal match and will be subject to the rules for documenting completion of this pledge. If program income is expected, but is not needed to achieve matching funds, **do not** include that portion here or on Item 15(f) of the Form 424 face sheet. Any anticipated program income that will not be applied as grantee match should be described in the Level of Effort section of the Program Narrative.

#### c. Standard Form 424B – Assurances (required)

This form contains assurances required of applicants under the discretionary funds programs administered by the Administration for Community Living. Please note that a duly authorized representative of the applicant organization must certify that the organization is in compliance with these assurances.

#### d. Certification Regarding Lobbying (required)

This form contains certifications that are required of the applicant organization regarding lobbying. Please note that a duly authorized representative of the applicant organization must attest to the applicant's compliance with these certifications.

## **Proof of Nonprofit Status (as applicable)**

Non-profit applicants must submit proof of non-profit status. Any of the following constitutes acceptable proof of such status:

- A copy of a currently valid IRS tax exemption certificate.
- A statement from a State taxing body, State attorney general, or other appropriate State official certifying that the applicant organization has a non-profit status and that none of the net earnings accrue to any private shareholders or individuals.
- A certified copy of the organization's certificate of incorporation or similar document that clearly establishes non-profit status.

#### **Indirect Cost Agreement**

Applicants that have included indirect costs in their budgets must include a copy of the current indirect cost rate agreement approved by the Department of Health and Human Services or

another federal agency. This is optional for applicants that have not included indirect costs in their budgets.

# **Budget Narrative/Justification- Sample Format**

NOTE: Applicants requesting funding for a multi-year grant program are REQUIRED to provide a detailed Budget Narrative/Justification for EACH potential year of grant funding requested.

| Object<br>Class<br>Category | Federal<br>Funds | Non-<br>Federal | Non-<br>Federal<br>In-<br>Kind |          | Justification   |
|-----------------------------|------------------|-----------------|--------------------------------|----------|---|
| Personnel                   | \$47,700         | \$23,554        | \$0                            | \$71,254 | Federal Project Director (name) = .5 FTE @ \$95,401/yr = \$47,700  Non-Fed Cash Officer Manager (name) = .5FTE @ \$47,108/yr = \$23,554  Total 71,254   |
| Fringe<br>Benefits          | \$17,482         | \$8,632         | \$0                            | \$26,114 | Federal Fringe on Project Director at 36.65% = \$17,482 FICA (7.65%) Health (25%) Dental (2%) Life (1%) Unemployment (1%) Non-Fed Cash Fringe on Office Manager at 36.65% = \$8,632 FICA (7.65%) Health (25%) Dental (2%) Life (1%) Unemployment (1%) |
| Travel                      | \$4,707          | \$2,940         | \$0                            | \$7,647  | Federal Local travel: 6 TA site visits for 1 person Mileage: 6RT @ .585 x 700 miles \$2,457 Lodging: 15 days @ \$110/day \$1,650 Per Diem: 15 days @ \$40/day \$600 Total \$4,707   |

|             |          |         |     |          | Non-Fed Cash                                   |
|-------------|----------|---------|-----|----------|--|
|             |          |         |     |          |  |
|             |          |         |     |          | Travel to National Conference in (Destination) |
|             |          |         |     |          | for 3 people                                   |
|             |          |         |     |          | Airfare 1 RT x 3 staff @ \$500                 |
|             |          |         |     |          | \$1,500  |
|             |          |         |     |          | Lodging: 3 days x 3 staff @ \$120/day \$1,080  |
|             |          |         |     |          | Per Diem: 3 days x 3 staff @ \$40/day          |
|             |          |         |     |          | \$360  |
|             |          |         |     |          | Total  |
|             |          |         |     |          | \$2,940  |
|             |          |         |     |          | No Equipment requested OR:                     |
|             |          |         |     |          | Call Center Equipment                          |
|             |          |         |     |          | Installation =                                 |
|             |          |         |     |          | \$5,000  |
| Equipment   | \$10,000 | \$0     | \$0 | \$10,000 | Phones =                                       |
|             |          |         |     |          | \$5,000  |
|             |          |         |     |          | Total  |
|             |          |         |     |          | \$10,000                                       |
|             |          | \$5,670 | \$0 | \$9,460  | Federal  |
|             |          |         |     |          | 2 desks @ \$1,500                              |
|             |          |         |     |          | \$3,000  |
|             | \$3,700  |         |     |          | 2 chairs @ \$300                               |
|             |          |         |     |          | \$600  |
|             |          |         |     |          |  |
|             |          |         |     |          | 2 cabinets @ \$200<br>\$400                    |
|             |          |         |     |          | Non-Fed Cash                                   |
| Cupplies    |          |         |     |          |  |
| Supplies    |          |         |     |          | 2 Laptop computers<br>\$3,000                  |
|             |          |         |     |          |  |
|             |          |         |     |          | Printer cartridges @ \$50/month<br>\$300       |
|             |          |         |     |          | Consumable supplies (pens, paper, clips etc)   |
|             |          |         |     |          | @ \$180/month                                  |
|             |          |         |     |          | \$2,160  |
|             |          |         |     |          | Total  |
|             |          |         |     |          | \$9,460  |
| Contractual | \$30,171 | \$0     | \$0 | \$30,171 | (organization name, purpose of contract and    |
|             |          |         |     |          | estimated dollar amount)                       |
|             |          |         |     |          | Contract with AAA to provide respite services: |
|             |          |         |     |          | 11 care givers @ \$1,682 =                     |
|             |          |         |     |          | \$18,502                                       |
|             |          |         |     |          | Volunteer Coordinator =                        |
|             |          |         |     |          | \$11,669                                       |
| L           | I        |         |     |          | 1  |

|                     |           |          |         |           | Total \$30,171 If contract details are unknown due to contract yet to be made provide same information listed above and: A detailed evaluation plan and budget will be submitted by (date), when contract is made.   |
|---------------------|-----------|----------|---------|-----------|--|
| Other               | \$5,600   | \$0      | \$5,880 | \$11,480  | Federal  2 consultants @ \$100/hr for 24.5 hours each = \$4,900  Printing 10,000 Brochures @ \$.05 = \$500  Local conference registration fee (name conference) = \$200  Total \$5,600  In-Kind  Volunteers  15 volunteers @ \$8/hr for 49 hours = \$5,880 |
| Indirect<br>Charges | \$20,934  | \$0      | \$0     | \$20,934  | 21.5% of salaries and fringe =<br>\$20,934<br>IDC rate is attached.  |
| TOTAL               | \$140,294 | \$40,866 | \$5,880 | \$187,060 |  |

Budget Narrative/Justification - Sample Template

NOTE: Applicants requesting funding for a multi-year grant program are REQUIRED to provide a detailed Budget Narrative/Justification for EACH potential year of grant funding requested.

| Object Class<br>Category | Federal<br>Funds | Non-Federal<br>Cash | Non-Federal In-<br>Kind | TOTAL | Justification |
|--------------------------|------------------|---------------------|-------------------------|-------|---------------|
| Personnel                |                  |                     |                         |       |               |
| Fringe Benefits          |                  |                     |                         |       |               |
| Travel                   |                  |                     |                         |       |               |
| Equipment                |                  |                     |                         |       |               |
| Supplies                 |                  |                     |                         |       |               |
| Contractual              |                  |                     |                         |       |               |
| Other                    |                  |                     |                         |       |               |
| Indirect Charges         |                  |                     |                         |       |               |
| TOTAL                    |                  |                     |                         |       |               |

Project Work Plan - Sample Template

NOTE: Applicants requesting funding for a multi-year grant program are REQUIRED to provide a Project Work Plan for EACH potential year of grant funding requested.

## Goal:

Measurable Outcome(s):

\* Time Frame (Start/End Dates by Month in Project Cycle)

| Major Objectives | Key Tasks | Lead | Person | 1*   | 2* | 3* | 4* | 5* | 6* | 7* | 8*   | 9*   | 10* | 11* | 12* |
|------------------|-----------|------|--------|------|----|----|----|----|----|----|------|------|-----|-----|-----|
| 1.               |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
| 2.               |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
| 3.               |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
| 4.               |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
| _                |           |      |        | <br> |    |    |    |    |    |    | <br> | <br> |     |     |     |
| 5.               |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
| C                |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
| 6.               |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |
|                  |           |      |        |      |    |    |    |    |    |    |      |      |     |     |     |

NOTE: Please do note infer from this sample format that your work plan must have 6 major objectives. If you need more pages, simply repeat this format on additional pages.

# **Instructions for Completing the Project Summary/ Abstract**

- All applications for grant funding must include a Summary/Abstract that concisely describes the proposed project. It should be written for the general public.
- To ensure uniformity, limit the length to 265 words or less, on a single page with a font size of not less than 11, doubled-spaced.
- The abstract must include the project's goal(s), objectives, overall approach (including target population and significant partnerships), anticipated outcomes, products, and duration. The following are very simple descriptions of these terms, and a sample Compendium abstract.

**Goal(s)** - broad, overall purpose, usually in a mission statement, i.e. what you want to do, where you want to be.

**Objective(s)** - narrow, more specific, identifiable or measurable steps toward a goal. Part of the planning process or sequence (the "how") to attain the goal(s).

**Outcomes** - measurable results of a project. Positive benefits or negative changes, or measurable characteristics among those served through this funding (e.g., clients, consumers, systems, organizations, communities) that occur as a result of an organization's or program's activities. These should tie directly back to the stated goals of the funding as outlined in the funding opportunity announcement. (Outcomes are the end-point)

**Products** - materials, deliverables.

• A model abstract/summary is provided below:

The Delaware Division of Services for Aging and Adults with Physical Disabilities (DSAAPD), in **partnership** with the Delaware Lifespan Respite Care Network (DLRCN) and key stakeholders will, in the course of this two-year project, expand and maintain a statewide coordinated lifespan respite system that builds on the infrastructure currently in place. The **goal** of this project is to improve the delivery and quality of respite services available to families across age and disability spectrums by expanding and coordinating existing respite systems in Delaware. The **objectives** are: 1) to improve lifespan respite infrastructure; 2) to improve the provision of information and awareness about respite service; 3) to streamline access to respite services through the Delaware ADRC; 4) to increase availability of respite services. Anticipated **outcomes** include: 1) families and caregivers of all ages and disabilities will have greater options for choosing a respite provider; 2) providers will demonstrate increased ability to provide specialized respite care; 3) families will have streamlined access to information and satisfaction with respite services; 4) respite care will be provided using a variety of existing funding sources and 5) a sustainability plan will be developed to support the project in the future. The expected **products** are marketing and outreach materials, caregiver training, respite worker training, a Respite Online searchable database, two new Caregiver Resource Centers (CRC), an annual Respite Summit, a respite voucher program and 24/7 telephone information and referral services.

## **Instructions for Completing the "Supplemental Information for the SF-424" Form**

## 1. Project Director.

Name, address, telephone and fax numbers, and e-mail address of the person to be contacted on matters involving this application. Items marked with an asterisk (\*) are mandatory.

**2. Novice Applicant.** Select "Not Applicable To This Program."

| Sample Logic Model    |            |   |                                     |        |  |  |  |  |
|-----------------------|------------|---|-------------------------------------|--------|--|--|--|--|
| Inputs &<br>Resources | Activities | P | Short &<br>Intermediate<br>Outcomes | Impact |  |  |  |  |

| What are the         | Describe/summarize    | What is being        | What are the      | Impacts are the |
|----------------------|-----------------------|----------------------|-------------------|-----------------|
| investments and      | the events or actions | produced or          | specific,         | ultimate goals  |
| resources available  | the project will do   | delivered that       | measurable        | of a project    |
| to the project to    | with the resources to | directly contributes | changes that      | and are         |
| accomplish the       | address the problem.  | to the outcomes?     | occur because of  | organizational- |
| activities, such as  |                       | For example,         | the intervention? | , community-,   |
| staff, funding,      |                       | number of people     | Changes can be    | or system-level |
| materials, expertise |                       | served, number of    | in participants'  | changes. What   |
| from partners,       |                       | hours of counseling  | behavior,         | is the ultimate |
| resources from       |                       | services provided,   | knowledge, or     | change          |
| community            |                       | documents/materials  | skills. Further,  | occurring       |
| partners, etc.?      |                       | produced.            | changes may be    | because of      |
|                      |                       |                      | divided into      | project         |
|                      |                       |                      | Short-term and    | activities over |
|                      |                       |                      | Intermediate      | multiple years? |
|                      |                       |                      | outcomes.         |                 |

## Context:

What are the conditions in the community, characteristics of target population(s), and/or other factors that could have a positive or negative effect on the success of the intervention? These contextual factors might be outside the project's control but need to be considered when planning the project.





**FORM ACTIONS:** 

# **WORKSPACE FORM**

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| OPPORTUNITY & PACKA        | GE DETAILS:  |
|----------------------------|--|
| Opportunity Number:        | HHS-2025-ACL-AOA-EJIG-0023                         |
| Opportunity Title:         | Elder Justice Innovation Grants FY2025             |
| Opportunity Package ID:    | PKG00291077  |
| Assistance Listing Number: | 93.747   |
| Assistance Listing Title:  | Elder Abuse Prevention Interventions Program       |
| Competition ID:            | HHS-2025-ACL-AOA-EJIG-0023                         |
| Competition Title:         | Elder Justice Innovation Grants FY2025             |
| Opening Date:              | 07/10/2025   |
| Closing Date:              | 08/11/2025   |
| Agency:                    | Administration for Community Living                |
| Contact Information:       | Kari Benson  |
| APPLICANT & WORKSPA        | CE DETAILS:  |
| Workspace ID:              | WS01550984   |
| Application Filing Name:   | Harris County Public Probate Application           |
| UEI:                       | JFMKAENLGN81                                       |
| Organization:              | HARRIS COUNTY                                      |
| Form Name:                 | Assurances for Non-Construction Programs (SF-424B) |
| Form Version:              | 1.1  |
| Requirement:               | Mandatory  |
| Download Date/Time:        | Jul 18, 2025 03:11:57 PM EDT                       |
| Form State:                | No Errors  |

OMB Number: 4040-0007 Expiration Date: 02/28/2025

#### **ASSURANCES - NON-CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

# PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE:

Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- 5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- 6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C.§§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation

- Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U. S.C. §§6101-6107), which prohibits discrimination on the basis of age: (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
- 7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- 8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

- 9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
- 10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514: (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

- 13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
- 14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- 18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
- 19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE                                 |
|---|---------------------------------------|
| Completed on submission to Grants.gov       |                                       |
| APPLICANT ORGANIZATION                      | DATE SUBMITTED                        |
|   | Completed on submission to Grants.gov |





**FORM ACTIONS:** 

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| OPPORTUNITY & PACKA        | GE DETAILS:                                  |
|----------------------------|--|
| Opportunity Number:        | HHS-2025-ACL-AOA-EJIG-0023                   |
| Opportunity Title:         | Elder Justice Innovation Grants FY2025       |
| Opportunity Package ID:    | PKG00291077                                  |
| Assistance Listing Number: | 93.747                                       |
| Assistance Listing Title:  | Elder Abuse Prevention Interventions Program |
| Competition ID:            | HHS-2025-ACL-AOA-EJIG-0023                   |
| Competition Title:         | Elder Justice Innovation Grants FY2025       |
| Opening Date:              | 07/10/2025                                   |
| Closing Date:              | 08/11/2025                                   |
| Agency:                    | Administration for Community Living          |
| Contact Information:       | Kari Benson                                  |
| APPLICANT & WORKSPA        | CE DETAILS:                                  |
| Workspace ID:              | WS01550984                                   |
| Application Filing Name:   | Harris County Public Probate Application     |
| UEI:                       | JFMKAENLGN81                                 |
| Organization:              | HARRIS COUNTY                                |
| Form Name:                 | Grants.gov Lobbying Form                     |
| Form Version:              | 1.1  |
| Requirement:               | Mandatory                                    |
| Download Date/Time:        | Jul 18, 2025 03:02:59 PM EDT                 |
| Form State:                | No Errors                                    |

OMB Number: 4040-0013 Expiration Date: 02/28/2025

#### CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

| * APPLICANT'S ORGANIZATION                                    |                                       |
|---|---------------------------------------|
| * PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE Prefix: | Middle Name:  Suffix:                 |
| * SIGNATURE: Completed on submission to Grants.gov * DATE     | Completed on submission to Grants.gov |





Download Date/Time:

**FORM ACTIONS:** 

Form State:

Jul 18, 2025 03:03:24 PM EDT

Error(s)

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| GE DETAILS:                                  |
|--|
| HHS-2025-ACL-AOA-EJIG-0023                   |
| Elder Justice Innovation Grants FY2025       |
| PKG00291077                                  |
| 93.747                                       |
| Elder Abuse Prevention Interventions Program |
| HHS-2025-ACL-AOA-EJIG-0023                   |
| Elder Justice Innovation Grants FY2025       |
| 07/10/2025                                   |
| 08/11/2025                                   |
| Administration for Community Living          |
| Kari Benson                                  |
| CE DETAILS:                                  |
| WS01550984                                   |
| Harris County Public Probate Application     |
| JFMKAENLGN81                                 |
| HARRIS COUNTY                                |
| Application for Federal Assistance (SF-424)  |
| 4.0  |
| Mandatory                                    |
|  |

OMB Number: 4040-0004 Expiration Date: 11/30/2025

| Application for Federal Assistance   | e SF-424                 |  |
|--|--------------------------|--|
| * 1. Type of Submission:  Preapplication  Application  Changed/Corrected Application | New                      | * If Revision, select appropriate letter(s):  * Other (Specify): |
| * 3. Date Received: 4  Completed by Grants.gov upon submission.                      | Applicant Identifier:    |  |
| 5a. Federal Entity Identifier:   |                          | 5b. Federal Award Identifier:                                    |
| State Use Only:  |                          |  |
| 6. Date Received by State:   | 7. State Application     | Identifier:  |
| 8. APPLICANT INFORMATION:  |                          |  |
| * a. Legal Name:   |                          |  |
| * b. Employer/Taxpayer Identification Number   | r (EIN/TIN):             | * c. UEI:  JFMKAENLGN81  |
| d. Address:  |                          |  |
| * Street1: Street2:  * City: County/Parish:  * State:                                |                          |  |
| Province:  * Country: USA: UNITED STAT   | res                      |  |
| * Zip / Postal Code:   |                          |  |
| e. Organizational Unit:  |                          |  |
| Department Name:   |                          | Division Name:   |
| f. Name and contact information of person  | on to be contacted on ma | atters involving this application:                               |
| Prefix: Middle Name:  * Last Name:   | * First Name             |  |
| Suffix:  |                          |  |
| Title:   |                          |  |
| Organizational Affiliation:  |                          |  |
| * Telephone Number:  |                          | Fax Number:  |
| * Email:   |                          |  |

| *10. Specification 1: Select Applicant Type:  Type of Applicant 2: Select Applicant Type:  Type of Applicant 3: Select Applicant Type:  *10. Name of Federal Agency:  *2. Administration For Community Triving  11. Assistance Listing Number:  23. 372  Assistance Listing Title:  *2. Early Pervention Interventions Program  *1.2 Funding Opportunity Number:  IIIIS-2025-ACI-ACA-EJIS-0023  *10. Competition Identification Number:  IIIIS-2025-ACI-ACA-EJIS-0023  13. Competition Identification Number:  IIIIS-2025-ACI-ACA-EJIS-0023  *10. Competition Identification Number:  IIIIS-2025-ACI-ACA-EJIS-0023  *1.5 Loss Affected by Project (Cities, Counties, States, etc.):  Add Attachment Delete Attachment View Attachment  *15. Descriptive Title of Applicant's Project:  | Application for Federal Assistance SF-424                        |
|--|--|
| Type of Applicant 3: Select Applicant Type:  **Other (specify):  **10. Name of Federal Agency:  Administration for Community Living  11. Assistance Listing Number:  93.7.47  Assistance Listing Number:  Elder Abuse Prevention Interventions Program  **12. Funding Opportunity Number:  HHS-2025-ACL-AOA-EJIG-0023  *Title:  Elder Justice Innovation Grants FY2025  13. Competition Identification Number:  HHS-2025-ACL-AOA-EJIG-0023  Title:  Elder Justice Innovation Grants FY2025   | * 9. Type of Applicant 1: Select Applicant Type:                 |
| Type of Applicant 3: Select Applicant Type:  **Other (specify):  **10. Name of Federal Agency:  Administration for Community Living  11. Assistance Listing Number:  93.7.47  Assistance Listing Number:  Elder Abuse Prevention Interventions Program  **12. Funding Opportunity Number:  HHS-2025-ACL-AOA-EJIG-0023  *Title:  Elder Justice Innovation Grants FY2025  13. Competition Identification Number:  HHS-2025-ACL-AOA-EJIG-0023  Title:  Elder Justice Innovation Grants FY2025   |  |
| **Other (specify):  **I0. Name of Federal Agency: Administration for Community Living  **I1. Assistance Listing Numbor:  **33,747  Assistance Listing Title: Elder Abuse Prevention Interventions Program  **12. Funding Opportunity Number:  HHS-2025-ACL-AOA-EJIG-0023  **Title:  Elder Justice Innovation Grants FY2025  **Itle: Elder Justice Innovation Grants FY2025  **Itle:  Elder Justice Innovation Grants FY2025  **Itle: Elder Justice Innovation Grants FY2025  **Itle: Elder Justice Innovation Grants FY2025  | Type of Applicant 2: Select Applicant Type:                      |
| **Other (specify):  **I0. Name of Federal Agency: Administration for Community Living  **I1. Assistance Listing Numbor:  **33,747  Assistance Listing Title: Elder Abuse Prevention Interventions Program  **12. Funding Opportunity Number:  HHS-2025-ACL-AOA-EJIG-0023  **Title:  Elder Justice Innovation Grants FY2025  **Itle: Elder Justice Innovation Grants FY2025  **Itle:  Elder Justice Innovation Grants FY2025  **Itle: Elder Justice Innovation Grants FY2025  **Itle: Elder Justice Innovation Grants FY2025  |  |
| *10. Name of Federal Agency:    Administration for Community Living  | Type of Applicant 3: Select Applicant Type:                      |
| *10. Name of Federal Agency:    Administration for Community Living  |  |
| Add Attachment   Delete Attachment   View Attachment   View Attachment   Add Attachment   Delete Attachment   View Attac | * Other (specify):   |
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| ### Title:  ### Title:  ### Title:  ### Title:  ### Areas Affected by Project (Cities, Counties, States, etc.):  ### Add Attachment Delete Attachment View Attachment  | HHS-2025-ACL-AOA-EJIG-0023                                       |
| 13. Competition Identification Number:  HHS-2025-ACL-AOA-EJIG-0023  Title:  Elder Justice Innovation Grants FY2025  14. Areas Affected by Project (Cities, Counties, States, etc.):  Add Attachment Delete Attachment View Attachment  | * Title:   |
| Title:  Elder Justice Innovation Grants FY2025  14. Areas Affected by Project (Cities, Counties, States, etc.):  Add Attachment  Delete Attachment  View Attachment  | Elder Justice Innovation Grants FY2025                           |
| Title:  Elder Justice Innovation Grants FY2025  14. Areas Affected by Project (Cities, Counties, States, etc.):  Add Attachment  Delete Attachment  View Attachment  |  |
| Title:  Elder Justice Innovation Grants FY2025  14. Areas Affected by Project (Cities, Counties, States, etc.):  Add Attachment  Delete Attachment  View Attachment  |  |
| Title:  Elder Justice Innovation Grants FY2025  14. Areas Affected by Project (Cities, Counties, States, etc.):  Add Attachment  Delete Attachment  View Attachment  | 13. Competition Identification Number:                           |
| Elder Justice Innovation Grants FY2025  14. Areas Affected by Project (Cities, Counties, States, etc.):  Add Attachment  Delete Attachment  View Attachment  | HHS-2025-ACL-AOA-EJIG-0023                                       |
| 14. Areas Affected by Project (Cities, Counties, States, etc.):  Add Attachment  Delete Attachment  View Attachment  | Title:   |
| Add Attachment Delete Attachment View Attachment   | Elder Justice Innovation Grants FY2025                           |
| Add Attachment Delete Attachment View Attachment   |  |
| Add Attachment Delete Attachment View Attachment   |  |
| Add Attachment Delete Attachment View Attachment   | 44 Array Affected by Businet/Cities Counties Otates at a )       |
|  |  |
| * 15. Descriptive Title of Applicant's Project:  | Add Attachment Delete Attachment View Attachment                 |
|  | * 15. Descriptive Title of Applicant's Project:                  |
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| Attach supporting documents as specified in agency instructions.   | Attach supporting documents as specified in agency instructions. |
| Add Attachments Delete Attachments View Attachments  | Add Attachments Delete Attachments View Attachments              |

| Application for Federal Assistance SF-424   |  |
|---|--|
| 16. Congressional Districts Of:   |  |
| * a. Applicant  | * b. Program/Project   |
| Attach an additional list of Program/Project Congressional Distr  | cts if needed.   |
|   | Add Attachment Delete Attachment View Attachment   |
| 17. Proposed Project:   |  |
| * a. Start Date:  | * b. End Date:   |
| 18. Estimated Funding (\$):   |  |
| * a. Federal  |  |
| * b. Applicant  |  |
| * c. State  |  |
| * d. Local  |  |
| * e. Other  |  |
| * f. Program Income   |  |
| * g. TOTAL  |  |
| * 19. Is Application Subject to Review By State Under Exc   | ecutive Order 12372 Process?   |
| a. This application was made available to the State und   | der the Executive Order 12372 Process for review on  |
| b. Program is subject to E.O. 12372 but has not been s  | selected by the State for review.  |
| c. Program is not covered by E.O. 12372.  |  |
| * 20. Is the Applicant Delinquent On Any Federal Debt? (  | If "Yes," provide explanation in attachment.)  |
| Yes No  |  |
| If "Yes", provide explanation and attach  |  |
|   | Add Attachment Delete Attachment View Attachment   |
| 21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)  ** I AGREE  ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency |  |
| ** I AGREE  ** The list of certifications and assurances, or an internet site.  | my knowledge. I also provide the required assurances** and agree to n aware that any false, fictitious, or fraudulent statements or claims may (U.S. Code, Title 18, Section 1001)   |
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| ** I AGREE  ** The list of certifications and assurances, or an internet site specific instructions.  Authorized Representative:  | my knowledge. I also provide the required assurances** and agree to a ware that any false, fictitious, or fraudulent statements or claims may (U.S. Code, Title 18, Section 1001)  where you may obtain this list, is contained in the announcement or agency            |
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